



Galileo Galilei (1564–1642), an Italian astronomer, philosopher, and professor of mathematics at the Universities of Pisa and Padua, in 1609 became the first man to point a telescope to the sky. He wrote the first treatise on modern dynamics in 1590. His works on the oscillations of a simple pendulum and the vibration of strings are of fundamental significance in the theory of vibrations. (Photo courtesy of Dirk J. Struik, *A Concise History of Mathematics* (2nd rev. ed.), Dover Publications, Inc., New York, 1948.)

CHAPTER 1

Fundamentals of Vibration

1.1 Preliminary Remarks

The subject of vibrations is introduced here in a relatively simple manner. The chapter begins with a brief history of the subject and continues with an examination of its importance. The various steps involved in vibration analysis of an engineering system are outlined, and essential definitions and concepts of vibration are introduced. There follows a presentation of the concept of harmonic analysis, which can be used for the analysis of general periodic motions. No attempt at exhaustive treatment is made in Chapter 1; subsequent chapters will develop many of the ideas in more detail.

1.2 Brief History of Vibration

1.2.1 Origins of Vibration

People became interested in vibration when the first musical instruments, probably whistles or drums, were discovered. Since then, people have applied ingenuity and critical investigation to study the phenomenon of vibration. Although certain very definite rules were observed in connection with the art of music even in ancient times, they can hardly be called a science. Music was highly developed and was much appreciated by the Chinese, Hindus, Japanese, and, perhaps, the Egyptians as long ago as 4000 B.C. [1.1].

From about 3000 B.C., stringed instruments such as harps appeared on the walls of Egyptian tombs [1.2]. In fact, the British Museum exhibits a harp with bull-headed sound-box found on an inlaid panel of a royal tomb at Ur from 2600 B.C. Stringed musical instruments probably originated with the hunter's bow, a weapon favored by the armies of ancient Egypt. One of the most primitive stringed instruments, called the *nanga*, dating back to 1500 B.C., can be seen in the British Museum. Our present system of music is based in ancient Greek civilization. Since ancient times, both musicians and philosophers sought out the rules and laws of sound production, used them in improving musical instruments, and passed them on from generation to generation.

The Greek philosopher and mathematician Pythagoras (582–507 B.C.) is considered to be the first person to investigate musical sounds on a scientific basis (Fig. 1.1). Among other things, Pythagoras conducted experiments on a vibrating string by using a simple apparatus called a monochord. In the monochord shown in Fig. 1.2 the wooden bridges labeled 1 and 3 are fixed. Bridge 2 is made movable while the tension in the string is held constant by the hanging weight. Pythagoras observed that if two like strings of different lengths are subject to the same tension, the shorter one emits a higher note; in addition, if the length of the shorter string is half the longer one, the shorter one will emit a note an octave above the other. However, Pythagoras left no written account of his work (Fig. 1.3). Although the concept of pitch was developed by the time of Pythagoras, its relation with the frequency of vibration of the sounding body was not understood. In fact the relation between the pitch and the frequency was not understood until the time of Galileo in the sixteenth century.

Around 350 B.C., Aristotle wrote treatises on music and sound, making observations such as “the voice is sweeter than the sound of instruments,” and “the sound of the flute is sweeter than that of the lyre.” In 320 B.C., Aristoxenus, a pupil of Aristotle and a musician, wrote a three-volume work entitled *Elements of Harmony*. These books are perhaps the



FIGURE 1.1 Pythagoras. (Reprinted with permission from L. E. Navia, *Pythagoras: An Annotated Bibliography*, Garland Publishing, Inc., New York, 1990).

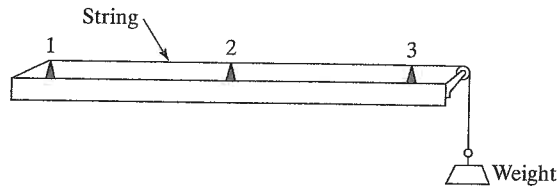
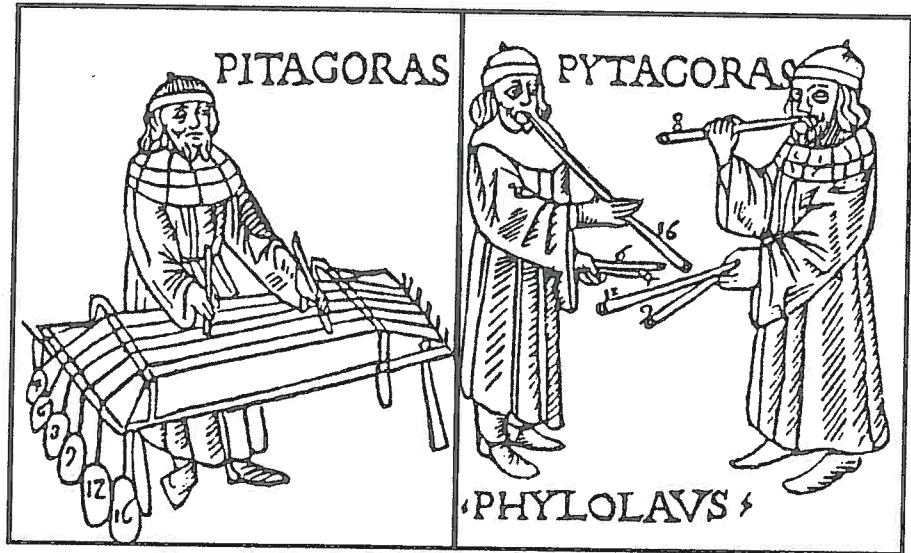


FIGURE 1.2 Monochord.

FIGURE 1.3 Pythagoras as a musician. (Reprinted with permission from D. E. Smith, *History of Mathematics*, Vol. I, Dover Publications, Inc., New York, 1958).

oldest ones available on the subject of music written directly by the author. Euclid, in about 300 B.C., wrote briefly about music without any reference to the physical nature of sound in a treatise called *Introduction to Harmonics*. No further scientific additions to sound were made by the Greeks. It appears that the Romans derived their knowledge of music completely from the Greeks, except that Vitruvius, a famous Roman architect, wrote in about 20 B.C. on the acoustic properties of theaters. His treatise, entitled *De Architectura Libri Decem*, was lost for several centuries, to be rediscovered only in the fifteenth century. There appears to have been no development in the theories of sound and vibration for nearly 16 centuries following the works of Vitruvius.

China experienced many earthquakes in ancient times. Zhang Heng, who served as a historian and astronomer in the second century, perceived a need to develop an instrument to measure earthquakes precisely. In A.D. 132 he invented the world's first seismograph to measure earthquakes [1.3, 1.4]. This seismograph was made of fine cast bronze, had a diameter of eight chi (a chi is equal to 0.237 meter), and was shaped like a wine jar (Fig. 1.4). Inside the jar was a mechanism consisting of pendulums surrounded by a group of eight lever mechanisms pointing in eight directions. Eight dragon figures, with a bronze ball in



FIGURE 1.4 The world's first seismograph, invented in China in A.D. 132. (Reprinted with permission from R. Taton (Ed.), *History of Science*, Basic Books, Inc., New York, 1957).

the mouth of each, were arranged on the outside of the seismograph. There were toads with mouths open upward underneath each dragon. A strong earthquake in any direction would tilt the pendulum in that direction, triggering the lever in the dragon head. This opened the mouth of the dragon, thereby releasing its bronze ball, which fell in the mouth of the toad with a clanging sound. Thus the seismograph enabled the monitoring personnel to know both the time and direction of occurrence of the earthquake.

1.2.2 From Galileo to Rayleigh

Galileo Galilei (1564–1642) is considered to be the founder of modern experimental science. In fact, the seventeenth century is often considered the “century of genius” since the foundations of modern philosophy and science were laid during that period. Galileo was inspired to study the behavior of a simple pendulum by observing the pendulum movements of a lamp in a church in Pisa. One day, while feeling bored during a sermon, Galileo was staring at the ceiling of the church. A swinging lamp caught his attention. He started measuring the period of the pendulum movements of the lamp with his pulse and found to his amazement that the time period was independent of the amplitude of swings. This led him to conduct more experiments on the simple pendulum. In *Discourses Concerning Two New Sciences*, published in 1638, Galileo discussed vibrating bodies. He described the dependence of the frequency of vibration on the length of a simple pendulum, along with the phenomenon of sympathetic vibrations (resonance). Galileo's writings also indicate that he had a clear understanding of the relationship between the frequency, length, tension, and density of a vibrating stretched string [1.5]. However, the first correct published account of the vibration of strings was given by the French mathematician and theologian, Marin Mersenne (1588–1648) in his book *Harmonicorum Liber*, published in 1636. Mersenne also measured, for the first time, the frequency of vibration of a long string and from that predicted the frequency of a shorter string having the same density and tension. Mersenne is considered by many the father of acoustics. He is often

credited with the discovery of the laws of vibrating strings because he published the results in 1636, two years before Galileo. However, the credit belongs to Galileo since the laws were written many years earlier but their publication was prohibited by the orders of the Inquisitor of Rome until 1638.

Inspired by the work of Galileo, the Academia del Cimento was founded in Florence in 1657; this was followed by the formations of the Royal Society of London in 1662 and the Paris Academie des Sciences in 1666. Later, Robert Hooke (1635–1703) also conducted experiments to find a relation between the pitch and frequency of vibration of a string. However, it was Joseph Sauveur (1653–1716) who investigated these experiments thoroughly and coined the word “acoustics” for the science of sound [1.6]. Sauveur in France and John Wallis (1616–1703) in England observed, independently, the phenomenon of mode shapes, and they found that a vibrating stretched string can have no motion at certain points and violent motion at intermediate points. Sauveur called the former points *nodes* and the latter ones *loops*. It was found that such vibrations had higher frequencies compared to the one associated with the simple vibration of the string with no nodes. In fact, the higher frequencies were found to be integral multiples of the frequency of simple vibration, and Sauveur called the higher frequencies harmonics and the frequency of simple vibration the fundamental frequency. Sauveur also found that a string can vibrate with several of its harmonics present at the same time. In addition, he observed the phenomenon of beats when two organ pipes of slightly different pitches are sounded together. In 1700 Sauveur calculated, by a somewhat dubious method, the frequency of a stretched string from the measured sag of its middle point.

Sir Isaac Newton (1642–1727) published his monumental work, *Philosophiae Naturalis Principia Mathematica*, in 1686, describing the law of universal gravitation as well as the three laws of motion and other discoveries. Newton’s second law of motion is routinely used in modern books on vibrations to derive the equations of motion of a vibrating body. The theoretical (dynamical) solution of the problem of the vibrating string was found by the English mathematician Brook Taylor (1685–1731) in 1713, who also presented the famous Taylor’s theorem on infinite series. The natural frequency of vibration obtained from the equation of motion derived by Taylor agreed with the experimental values observed by Galileo and Mersenne. The procedure adopted by Taylor was perfected through the introduction of partial derivatives in the equations of motion by Daniel Bernoulli (1700–1782), Jean D’Alembert (1717–1783), and Leonard Euler (1707–1783).

The possibility of a string vibrating with several of its harmonics present at the same time (with displacement of any point at any instant being equal to the algebraic sum of displacements for each harmonic) was proved through the dynamic equations of Daniel Bernoulli in his memoir, published by the Berlin Academy in 1755 [1.7]. This characteristic was referred to as the principle of the coexistence of small oscillations, which, in present-day terminology, is the principle of superposition. This principle was proved to be most valuable in the development of the theory of vibrations and led to the possibility of expressing any arbitrary function (i.e., any initial shape of the string) using an infinite series of sines and cosines. Because of this implication, D’Alembert and Euler doubted the validity of this principle. However, the validity of this type of expansion was proved by J. B. J. Fourier (1768–1830) in his *Analytical Theory of Heat* in 1822.

The analytical solution of the vibrating string was presented by Joseph Lagrange (1736–1813) in his memoir published by the Turin Academy in 1759. In his study, Lagrange assumed that the string was made up of a finite number of equally spaced identical mass particles, and he established the existence of a number of independent frequencies equal to the number of mass particles. When the number of particles was allowed to be infinite, the resulting frequencies were found to be the same as the harmonic frequencies of the stretched string. The method of setting up the differential equation of the motion of a string (called the wave equation), presented in most modern books on vibration theory, was first developed by D'Alembert in his memoir published by the Berlin Academy in 1750. The vibration of thin beams supported and clamped in different ways was first studied by Euler in 1744 and Daniel Bernoulli in 1751. Their approach has become known as the Euler-Bernoulli or thin beam theory.

Charles Coulomb did both theoretical and experimental studies in 1784 on the torsional oscillations of a metal cylinder suspended by a wire (Fig. 1.5). By assuming that the resisting torque of the twisted wire is proportional to the angle of twist, he derived the equation of motion for the torsional vibration of the suspended cylinder. By integrating the equation of motion, he found that the period of oscillation is independent of the angle of twist.

There is an interesting story related to the development of the theory of vibration of plates [1.8]. In 1802 the German scientist, E. F. F. Chladni (1756–1824) developed the method of placing sand on a vibrating plate to find its mode shapes and observed the

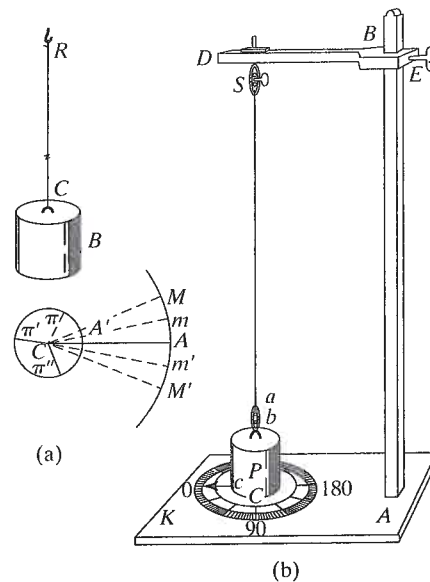


FIGURE 1.5 Coulomb's device for torsional vibration tests. (Reprinted with permission from S. P. Timoshenko, *History of Strength of Materials*, McGraw-Hill Book Company, Inc., New York, 1953).

beauty and intricacy of the modal patterns of the vibrating plates. In 1809 the French Academy invited Chladni to give a demonstration of his experiments. Napoléon Bonaparte, who attended the meeting, was very impressed and presented a sum of 3,000 francs to the academy, to be awarded to the first person to give a satisfactory mathematical theory of the vibration of plates. By the closing date of the competition in October 1811, only one candidate, Sophie Germain, had entered the contest. But Lagrange, who was one of the judges, noticed an error in the derivation of her differential equation of motion. The academy opened the competition again, with a new closing date of October 1813. Sophie Germain again entered the contest, presenting the correct form of the differential equation. However, the academy did not award the prize to her because the judges wanted physical justification of the assumptions made in her derivation. The competition was opened once more. In her third attempt, Sophie Germain was finally awarded the prize in 1815, although the judges were not completely satisfied with her theory. In fact, it was later found that her differential equation was correct but the boundary conditions were erroneous. The correct boundary conditions for the vibration of plates were given in 1850 by G. R. Kirchhoff (1824–1887).

In the meantime, the problem of vibration of a rectangular flexible membrane, which is important for the understanding of the sound emitted by drums, was solved for the first time by Simeon Poisson (1781–1840). The vibration of a circular membrane was studied by R. F. A. Clebsch (1833–1872) in 1862. After this, vibration studies were done on a number of practical mechanical and structural systems. In 1877 Lord Baron Rayleigh published his book on the theory of sound [1.9]; it is considered a classic on the subject of sound and vibration even today. Notable among the many contributions of Rayleigh is the method of finding the fundamental frequency of vibration of a conservative system by making use of the principle of conservation of energy—now known as Rayleigh's method. This method proved to be a helpful technique for the solution of difficult vibration problems. An extension of the method, which can be used to find multiple natural frequencies, is known as the Rayleigh-Ritz method.

1.2.3 Recent Contributions

In 1902 Frahm investigated the importance of torsional vibration study in the design of the propeller shafts of steamships. The dynamic vibration absorber, which involves the addition of a secondary spring-mass system to eliminate the vibrations of a main system, was also proposed by Frahm in 1909. Among the modern contributors to the theory of vibrations, the names of Stodola, De Laval, Timoshenko, and Mindlin are notable. Aurel Stodola (1859–1943) contributed to the study of vibration of beams, plates, and membranes. He developed a method for analyzing vibrating beams that is also applicable to turbine blades. Noting that every major type of prime mover gives rise to vibration problems, C. G. P. De Laval (1845–1913) presented a practical solution to the problem of vibration of an unbalanced rotating disk. After noticing failures of steel shafts in high-speed turbines, he used a bamboo fishing rod as a shaft to mount the rotor. He observed that this system not only eliminated the vibration of the unbalanced rotor but also survived up to speeds as high as 100,000 rpm [1.10].

Stephen Timoshenko (1878–1972) presented an improved theory of vibration of beams, which has become known as the Timoshenko or thick beam theory, by considering the effects of rotary inertia and shear deformation. A similar theory was presented by R. D. Mindlin for

the vibration analysis of thick plates by including the effects of rotary inertia and shear deformation.

It has long been recognized that many basic problems of mechanics, including those of vibrations, are nonlinear. Although the linear treatments commonly adopted are quite satisfactory for most purposes, they are not adequate in all cases. In nonlinear systems, phenomena may occur that are theoretically impossible in linear systems. The mathematical theory of nonlinear vibrations began to develop in the works of Poincaré and Lyapunov at the end of the nineteenth century. Poincaré developed the perturbation method in 1892 in connection with the approximate solution of nonlinear celestial mechanics problems. Lyapunov laid the foundations of modern stability theory in 1892, which is applicable to all types of dynamical systems. After 1920, the studies undertaken by Duffing and van der Pol brought the first definite solutions into the theory of nonlinear vibrations and drew attention to its importance in engineering. In the last 30 years, authors like Minorsky and Stoker have endeavored to collect in monographs the main results concerning nonlinear vibrations. Most practical applications of nonlinear vibration involved the use of some type of a perturbation theory approach. The modern methods of perturbation theory were surveyed by Nayfeh [1.11].

Random characteristics are present in diverse phenomena such as earthquakes, winds, transportation of goods on wheeled vehicles, and rocket and jet engine noise. It became necessary to devise concepts and methods of vibration analysis for these random effects. Although Einstein considered Brownian movement, a particular type of random vibration, as long ago as 1905, no applications were investigated until 1930. The introduction of the correlation function by Taylor in 1920 and of the spectral density by Wiener and Khinchin in the early 1930s opened new prospects for progress in the theory of random vibrations. Papers by Lin and Rice, published between 1943 and 1945, paved the way for the application of random vibrations to practical engineering problems. The monographs of Crandall and Mark, and Robson systematized the existing knowledge in the theory of random vibrations [1.12, 1.13].

Until about 30 years ago, vibration studies, even those dealing with complex engineering systems, were done by using gross models, with only a few degrees of freedom. However, the advent of high-speed digital computers in the 1950s made it possible to treat moderately complex systems and to generate approximate solutions in semidefinite form, relying on classical solution methods but using numerical evaluation of certain terms that cannot be expressed in closed form. The simultaneous development of the finite element method enabled engineers to use digital computers to conduct numerically detailed vibration analysis of complex mechanical, vehicular, and structural systems displaying thousands of degrees of freedom [1.14]. Although the finite element method was not so named until recently, the concept was used several centuries back. For example, ancient mathematicians found the circumference of a circle by approximating it as a polygon, where each side of the polygon, in present-day notation, can be called a finite element. The finite element method as known today was presented by Turner, Clough, Martin, and Topp in connection with the analysis of aircraft structures [1.15]. Figure 1.6 shows the finite element idealization of the body of a bus [1.16].

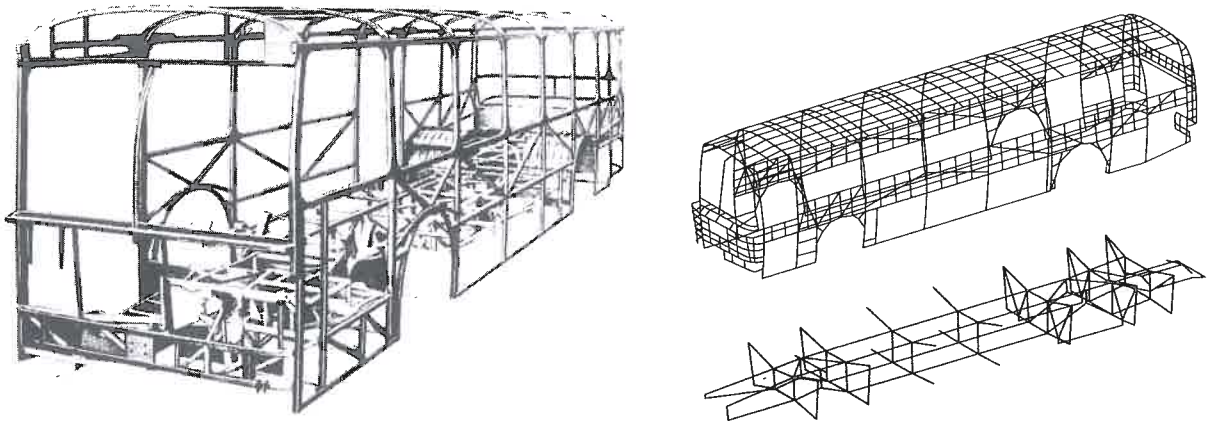


FIGURE 1.6 Finite element idealization of the body of a bus [1.16]. (Reprinted with permission © 1974 Society of Automotive Engineers, Inc.)

1.3 Importance of the Study of Vibration

Most human activities involve vibration in one form or other. For example, we hear because our eardrums vibrate and see because light waves undergo vibration. Breathing is associated with the vibration of lungs and walking involves (periodic) oscillatory motion of legs and hands. We speak due to the oscillatory motion of larynges (and tongues) [1.17]. Early scholars in the field of vibration concentrated their efforts on understanding the natural phenomena and developing mathematical theories to describe the vibration of physical systems. In recent times, many investigations have been motivated by the engineering applications of vibration, such as the design of machines, foundations, structures, engines, turbines, and control systems.

Most prime movers have vibrational problems due to the inherent unbalance in the engines. The unbalance may be due to faulty design or poor manufacture. Imbalance in diesel engines, for example, can cause ground waves sufficiently powerful to create a nuisance in urban areas. The wheels of some locomotives can rise more than a centimeter off the track at high speeds due to imbalance. In turbines, vibrations cause spectacular mechanical failures. Engineers have not yet been able to prevent the failures that result from blade and disk vibrations in turbines. Naturally, the structures designed to support heavy centrifugal machines, like motors and turbines, or reciprocating machines, like steam and gas engines and reciprocating pumps, are also subjected to vibration. In all these situations, the structure or machine component subjected to vibration can fail because of material fatigue resulting from the cyclic variation of the induced stress. Furthermore, the vibration causes more rapid wear of machine parts such as bearings and gears and also creates excessive noise. In machines, vibration causes fasteners such as nuts to become loose. In metal cutting processes, vibration can cause chatter, which leads to a poor surface finish.

Whenever the natural frequency of vibration of a machine or structure coincides with the frequency of the external excitation, there occurs a phenomenon known as *resonance*,

which leads to excessive deflections and failure. The literature is full of accounts of system failures brought about by resonance and excessive vibration of components and systems (see Fig. 1.7). Because of the devastating effects that vibrations can have on machines and structures, vibration testing [1.18] has become a standard procedure in the design and development of most engineering systems (see Fig. 1.8).

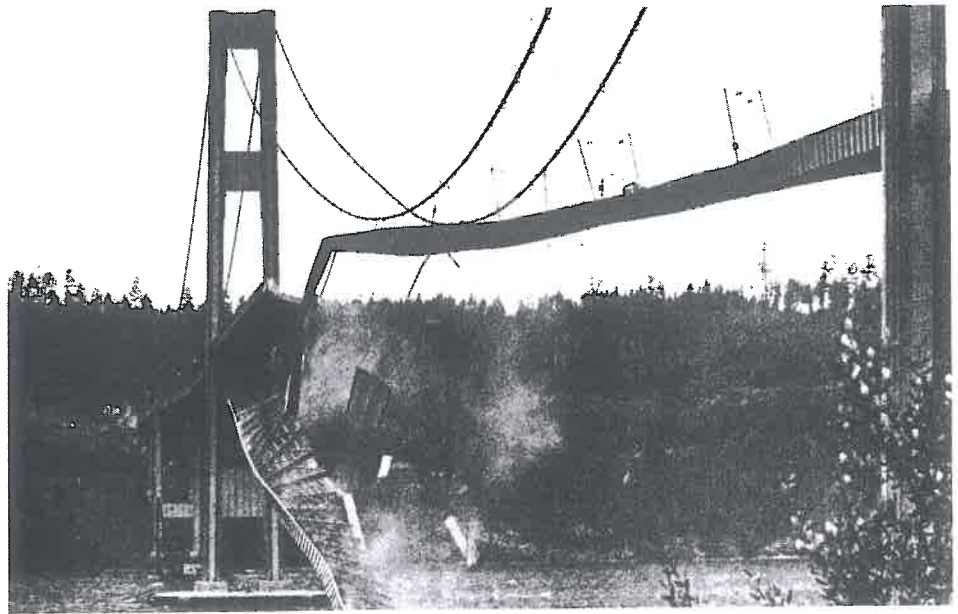


FIGURE 1.7 Tacoma Narrows bridge during wind-induced vibration. The bridge opened on July 1, 1940, and collapsed on November 7, 1940. (Farquharson photo, Historical Photography Collection, University of Washington Libraries.)

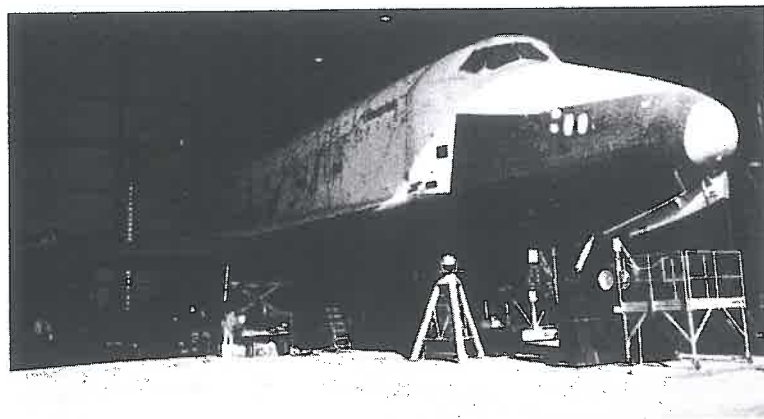


FIGURE 1.8 Vibration testing of the space shuttle *Enterprise*. (Courtesy of NASA.)

In many engineering systems, a human being acts as an integral part of the system. The transmission of vibration to human beings results in discomfort and loss of efficiency. The vibration and noise generated by engines causes annoyance to people and, sometimes, damage to property (see Fig. 1.9). Vibration of instrument panels can cause their malfunction or difficulty in reading the meters [1.19]. Thus one of the important purposes of vibration study is to reduce vibration through proper design of machines and their mountings. In this connection, the mechanical engineer tries to design the engine or machine so as to minimize imbalance, while the structural engineer tries to design the supporting structure so as to ensure that the effect of the imbalance will not be harmful [1.20].

In spite of its detrimental effects, vibration can be utilized profitably in several consumer and industrial applications. In fact, the applications of vibratory equipment have increased considerably in recent years [1.21]. For example, vibration is put to work in vibratory conveyors, hoppers, sieves, compactors, washing machines, electric toothbrushes, dentist's drills, clocks, and electric massaging units. Vibration is also used in pile driving, vibratory testing of materials, vibratory finishing processes, and electronic circuits to filter out the unwanted frequencies (see Fig. 1.10). Vibration has been found to improve the efficiency of certain machining, casting, forging, and welding processes. It is employed to simulate earthquakes for geological research and also to conduct studies in the design of nuclear reactors.

1.4 Basic Concepts of Vibration

1.4.1 Vibration

Any motion that repeats itself after an interval of time is called *vibration* or *oscillation*. The swinging of a pendulum and the motion of a plucked string are typical examples of vibration. The theory of vibration deals with the study of oscillatory motions of bodies and the forces associated with them.

1.4.2 Elementary Parts of Vibrating Systems

A vibratory system, in general, includes a means for storing potential energy (spring or elasticity), a means for storing kinetic energy (mass or inertia), and a means by which energy is gradually lost (damper).

The vibration of a system involves the transfer of its potential energy to kinetic energy and kinetic energy to potential energy, alternately. If the system is damped, some energy is dissipated in each cycle of vibration and must be replaced by an external source if a state of steady vibration is to be maintained.

As an example, consider the vibration of the simple pendulum shown in Fig. 1.11. Let the bob of mass m be released after giving it an angular displacement θ . At position 1 the velocity of the bob and hence its kinetic energy is zero. But it has a potential energy of magnitude $mgl(1 - \cos \theta)$ with respect to the datum position 2. Since the gravitational force mg induces a torque $mg l \sin \theta$ about the point O , the bob starts swinging to the left from position 1. This gives the bob certain angular acceleration in the clockwise direction, and by the time it reaches position 2, all of its potential energy will be converted into kinetic energy. Hence the bob will not stop in position 2 but will continue to swing to position 3. However, as it passes the mean position 2, a counterclockwise torque starts acting on the bob due to gravity and causes the bob to decelerate. The velocity of the bob reduces to zero at the left extreme position. By this time, all the kinetic energy of the bob will be converted to potential energy. Again due to the

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FIGURE 1.9 Annoyance caused by vibration and noise. (Reprinted with permission from *Sound and Vibration*, February 1977, Acoustical Publications, Inc.)

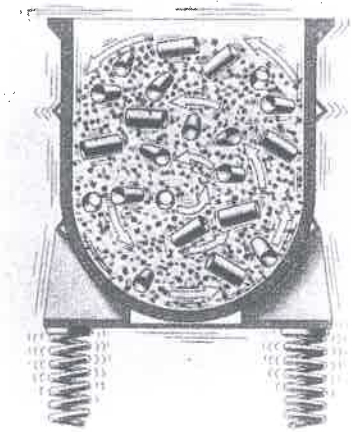
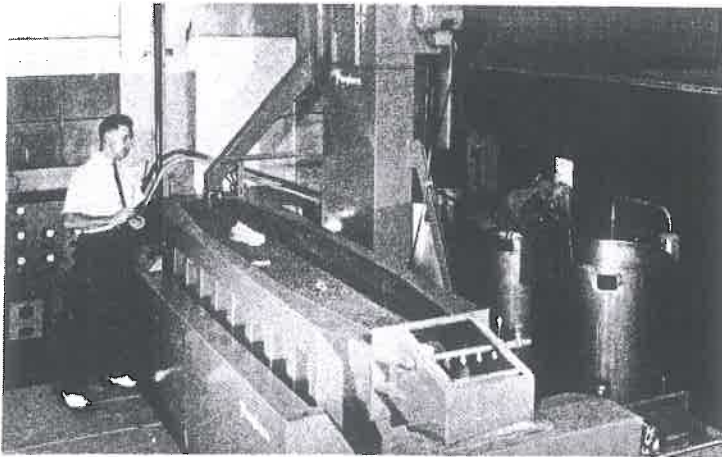


FIGURE 1.10 Vibratory finishing process. (Reprinted courtesy of the Society of Manufacturing Engineers, © 1964 The Tool and Manufacturing Engineer.)

gravity torque, the bob continues to attain a counterclockwise velocity. Hence the bob starts swinging back with progressively increasing velocity and passes the mean position again. This process keeps repeating, and the pendulum will have oscillatory motion. However, in practice, the magnitude of oscillation (θ) gradually decreases and the pendulum ultimately stops due to the resistance (damping) offered by the surrounding medium (air). This means that some energy is dissipated in each cycle of vibration due to damping by the air.

1.4.3 Degree of Freedom

The minimum number of independent coordinates required to determine completely the positions of all parts of a system at any instant of time defines the degree of freedom of the system. The simple pendulum shown in Fig. 1.11, as well as each of the systems

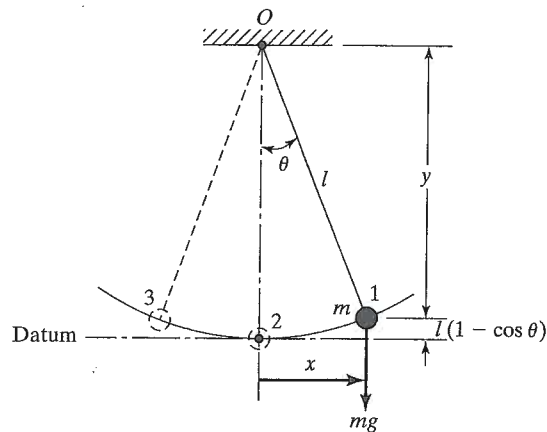


FIGURE 1.11 A simple pendulum.

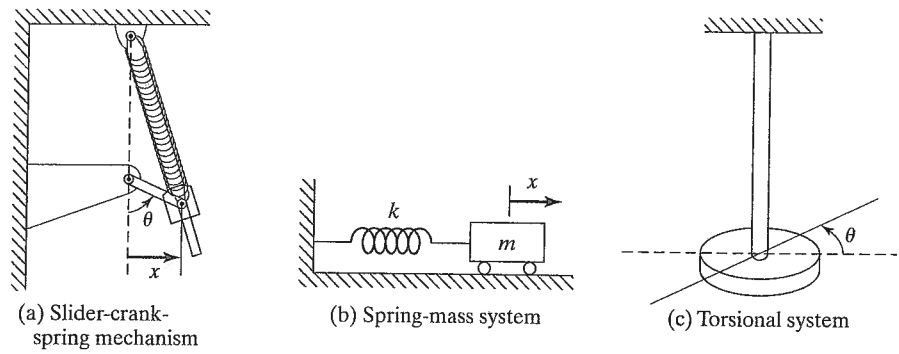


FIGURE 1.12 Single degree of freedom systems.

shown in Fig. 1.12, represents a single degree of freedom system. For example, the motion of the simple pendulum (Fig. 1.11) can be stated either in terms of the angle θ or in terms of the Cartesian coordinates x and y . If the coordinates x and y are used to describe the motion, it must be recognized that these coordinates are not independent. They are related to each other through the relation $x^2 + y^2 = l^2$, where l is the constant length of the pendulum. Thus any one coordinate can describe the motion of the pendulum. In this example, we find that the choice of θ as the independent coordinate will be more convenient than the choice of x or y . For the slider shown in Fig. 1.12(a), either the angular coordinate θ or the coordinate x can be used to describe the motion. In Fig. 1.12(b), the linear coordinate x can be used to specify the motion. For the torsional system (long bar with a heavy disk at the end) shown in Fig. 1.12(c), the angular coordinate θ can be used to describe the motion.

Some examples of two- and three-degree of freedom systems are shown in Figs. 1.13 and 1.14, respectively. Figure 1.13(a) shows a two mass-two spring system that is described by the two linear coordinates x_1 and x_2 . Figure 1.13(b) denotes a two-rotor system whose motion can be specified in terms of θ_1 and θ_2 . The motion of the system shown in Fig. 1.13(c) can be described completely either by X and θ or by x , y , and X . In the latter case, x and y are constrained as $x^2 + y^2 = l^2$ where l is a constant.

For the systems shown in Figs. 1.14(a) and 1.14(c), the coordinates x_i ($i = 1, 2, 3$) and θ_i ($i = 1, 2, 3$) can be used, respectively, to describe the motion. In the case of the system shown in Fig. 1.14(b), θ_i ($i = 1, 2, 3$) specifies the positions of the masses m_i ($i = 1, 2, 3$).

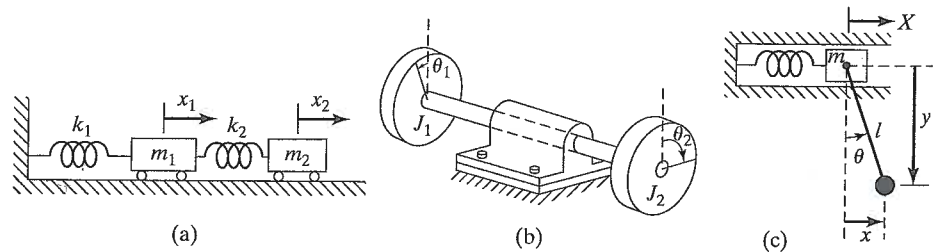


FIGURE 1.13 Two degree of freedom systems.

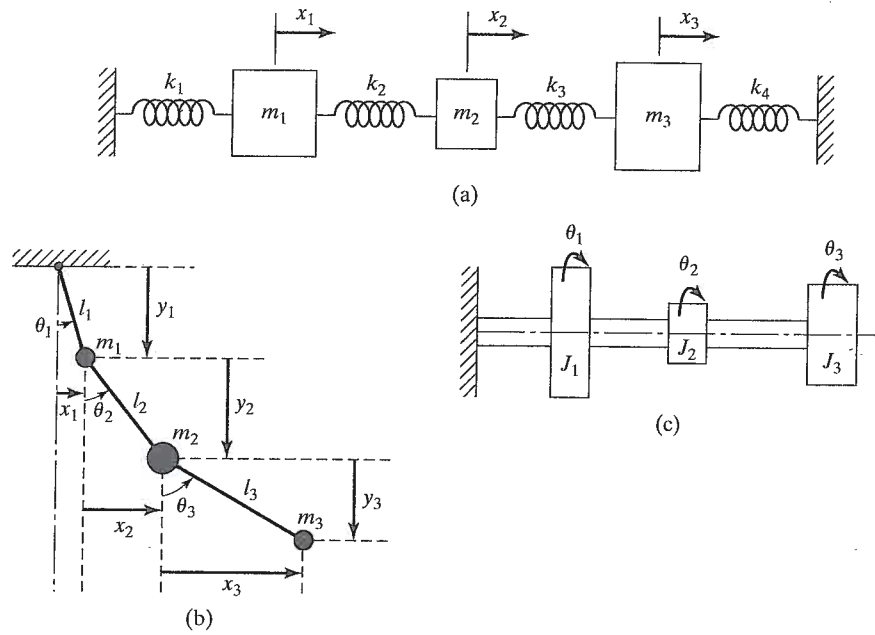


FIGURE 1.14 Three-degree of freedom systems.

An alternate method of describing this system is in terms of x_i and y_i ($i = 1, 2, 3$); but in this case the constraints $x_i^2 + y_i^2 = l_i^2$ ($i = 1, 2, 3$) have to be considered.

The coordinates necessary to describe the motion of a system constitute a set of *generalized coordinates*. The generalized coordinates are usually denoted as q_1, q_2, \dots and may represent Cartesian and/or non-Cartesian coordinates.

1.4.4 Discrete and Continuous Systems

A large number of practical systems can be described using a finite number of degrees of freedom, such as the simple systems shown in Figs. 1.11 to 1.14. Some systems, especially those involving continuous elastic members, have an infinite number of degrees of freedom. As a simple example, consider the cantilever beam shown in Fig. 1.15. Since the beam has an infinite number of mass points, we need an infinite number of coordinates to specify its deflected configuration. The infinite number of coordinates defines its elastic deflection curve. Thus the cantilever beam has an infinite number of degrees of freedom.

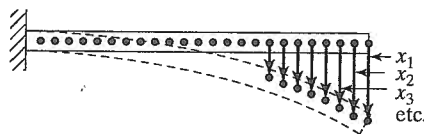
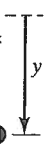


FIGURE 1.15 A cantilever beam (an infinite-number-of-degrees-of-freedom system).

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Most structural and machine systems have deformable (elastic) members and therefore have an infinite number of degrees of freedom.

Systems with a finite number of degrees of freedom are called *discrete* or *lumped parameter* systems, and those with an infinite number of degrees of freedom are called *continuous* or *distributed* systems.

Most of the time, continuous systems are approximated as discrete systems, and solutions are obtained in a simpler manner. Although treatment of a system as continuous gives exact results, the analytical methods available for dealing with continuous systems are limited to a narrow selection of problems, such as uniform beams, slender rods, and thin plates. Hence most of the practical systems are studied by treating them as finite lumped masses, springs, and dampers. In general, more accurate results are obtained by increasing the number of masses, springs, and dampers—that is, by increasing the number of degrees of freedom.

1.5 Classification of Vibration

Vibration can be classified in several ways. Some of the important classifications are as follows.

1.5.1 Free and Forced Vibration

Free Vibration. If a system, after an initial disturbance, is left to vibrate on its own, the ensuing vibration is known as *free vibration*. No external force acts on the system. The oscillation of a simple pendulum is an example of free vibration.

Forced Vibration. If a system is subjected to an external force (often, a repeating type of force), the resulting vibration is known as *forced vibration*. The oscillation that arises in machines such as diesel engines is an example of forced vibration.

If the frequency of the external force coincides with one of the natural frequencies of the system, a condition known as *resonance* occurs, and the system undergoes dangerously large oscillations. Failures of such structures as buildings, bridges, turbines, and airplane wings have been associated with the occurrence of resonance.

1.5.2 Undamped and Damped Vibration

If no energy is lost or dissipated in friction or other resistance during oscillation, the vibration is known as *undamped vibration*. If any energy is lost in this way, however, it is called *damped vibration*. In many physical systems, the amount of damping is so small that it can be disregarded for most engineering purposes. However, consideration of damping becomes extremely important in analyzing vibratory systems near resonance.

1.5.3 Linear and Nonlinear Vibration

If all the basic components of a vibratory system—the spring, the mass, and the damper—behave linearly, the resulting vibration is known as *linear vibration*. If, however, any of the basic components behave nonlinearly, the vibration is called *nonlinear vibration*. The differential equations that govern the behavior of linear and nonlinear vibratory systems are linear and nonlinear, respectively. If the vibration is linear, the principle of superposition holds, and the mathematical techniques of analysis are well developed. For nonlinear vibration, the superposition principle is not valid, and techniques of analysis are less well-known. Since all vibratory systems tend to behave nonlinearly with increasing amplitude of oscillation, a knowledge of nonlinear vibration is desirable in dealing with practical vibratory systems.

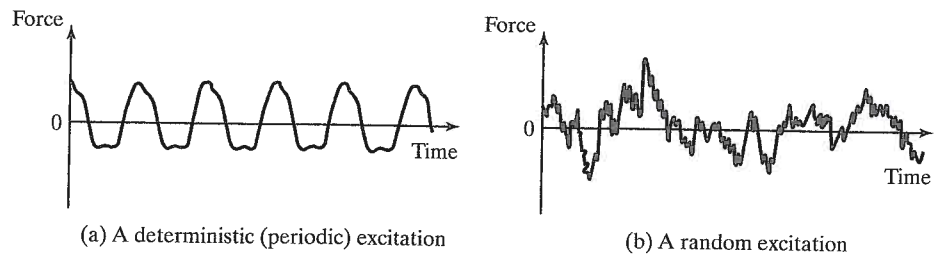


FIGURE 1.16 Deterministic and random excitations.

1.5.4 Deterministic and Random Vibration

If the value or magnitude of the excitation (force or motion) acting on a vibratory system is known at any given time, the excitation is called *deterministic*. The resulting vibration is known as *deterministic vibration*.

In some cases, the excitation is *nondeterministic* or *random*; the value of the excitation at a given time cannot be predicted. In these cases, a large collection of records of the excitation may exhibit some statistical regularity. It is possible to estimate averages such as the mean and mean square values of the excitation. Examples of random excitations are wind velocity, road roughness, and ground motion during earthquakes. If the excitation is random, the resulting vibration is called *random vibration*. In the case of random vibration, the vibratory response of the system is also random; it can be described only in terms of statistical quantities. Figure 1.16 shows examples of deterministic and random excitations.

1.6 Vibration Analysis Procedure

A vibratory system is a dynamic system for which the variables such as the excitations (inputs) and responses (outputs) are time-dependent. The response of a vibrating system generally depends on the initial conditions as well as the external excitations. Most practical vibrating systems are very complex, and it is impossible to consider all the details for a mathematical analysis. Only the most important features are considered in the analysis to predict the behavior of the system under specified input conditions. Often the overall behavior of the system can be determined by considering even a simple model of the complex physical system. Thus the analysis of a vibrating system usually involves mathematical modeling, derivation of the governing equations, solution of the equations, and interpretation of the results.

Step 1: Mathematical Modeling. The purpose of mathematical modeling is to represent all the important features of the system for the purpose of deriving the mathematical (or analytical) equations governing the system's behavior. The mathematical model should include enough details to be able to describe the system in terms of equations without making it too complex. The mathematical model may be linear or nonlinear, depending on the behavior of the system's components. Linear models permit quick solutions and are simple to handle; however, nonlinear models sometimes reveal certain characteristics of the system that cannot be predicted using linear models. Thus a great deal of engineering judgment is needed to come up with a suitable mathematical model of a vibrating system.

Sometimes the mathematical model is gradually improved to obtain more accurate results. In this approach, first a very crude or elementary model is used to get a quick insight into the overall behavior of the system. Subsequently, the model is refined by including more components and/or details so that the behavior of the system can be observed more closely. To illustrate the procedure of refinement used in mathematical modeling, consider the forging hammer shown in Fig. 1.17(a). The forging hammer consists of a frame, a falling weight known as the tup, an anvil, and a foundation block. The anvil is a massive steel block on which material is forged into desired shape by the repeated blows of the tup. The anvil is usually mounted on an elastic pad to reduce the transmission of vibration to the foundation block and the frame [1.22]. For a first approximation, the frame, anvil, elastic pad, foundation block, and soil are modeled as a single-degree of freedom system as shown in Fig. 1.17(b). For a refined approximation, the weights of the frame and anvil and the foundation block are represented separately with a two-degree of freedom model as shown in Fig. 1.17(c). Further refinement of the model can be made by considering eccentric impacts of the tup, which cause each of the masses shown in Fig. 1.17(c) to have both vertical and rocking (rotation) motions in the plane of the paper.

Step 2: Derivation of Governing Equations. Once the mathematical model is available, we use the principles of dynamics and derive the equations that describe the vibration of the system. The equations of motion can be derived conveniently by drawing the free-body diagrams of all the masses involved. The free-body diagram of a mass can be obtained by isolating the mass and indicating all externally applied forces, the reactive forces, and the inertia forces. The equations of motion of a vibrating system are usually in the form of a set of ordinary differential equations for a discrete system and partial differential equations for a continuous system. The equations may be linear or nonlinear, depending on the behavior of the components of the system. Several approaches are commonly used to derive the governing equations. Among them are Newton's second law of motion, D'Alembert's principle, and the principle of conservation of energy.

Step 3: Solution of the Governing Equations. The equations of motion must be solved to find the response of the vibrating system. Depending on the nature of the problem, we can use one of the following techniques for finding the solution: standard methods of solving differential equations, Laplace transform methods, matrix methods,¹ and numerical methods. If the governing equations are nonlinear, they can seldom be solved in closed form. Furthermore, the solution of partial differential equations is far more involved than that of ordinary differential equations. Numerical methods involving computers can be used to solve the equations. However, it will be difficult to draw general conclusions about the behavior of the system using computer results.

Step 4: Interpretation of the Results. The solution of the governing equations gives the displacements, velocities, and accelerations of the various masses of the system. These results must be interpreted with a clear view of the purpose of the analysis and the possible design implications of the results.

¹The basic definitions and operations of matrix theory are given in Appendix A.

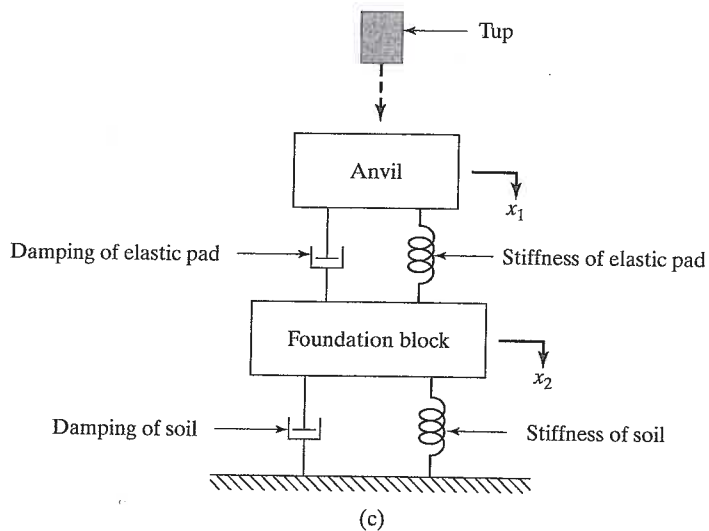
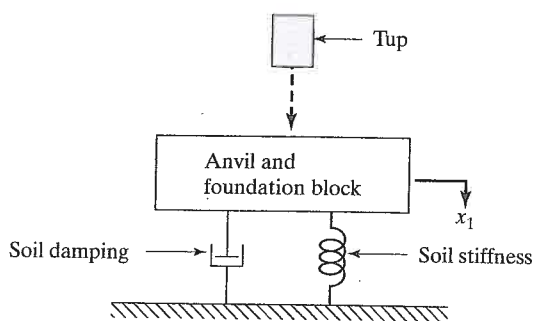
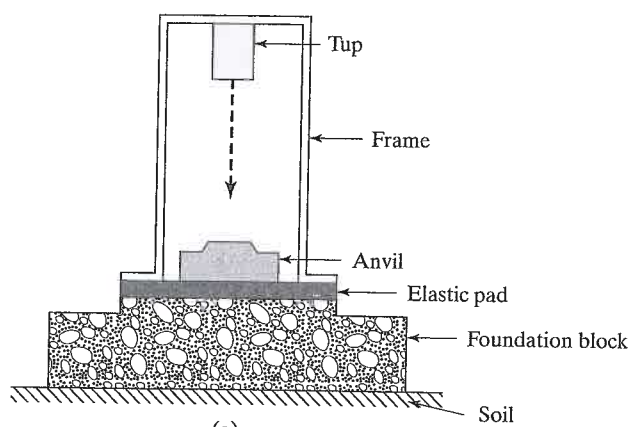


FIGURE 1.17 Modeling of a forging hammer.

EXAMPLE 1.1 Mathematical Model of a Motorcycle

Figure 1.18(a) shows a motorcycle with a rider. Develop a sequence of three mathematical models of the system for investigating vibration in the vertical direction. Consider the elasticity of the tires, elasticity and damping of the struts (in the vertical direction), masses of the wheels, and elasticity, damping, and mass of the rider.

Solution: We start with the simplest model and refine it gradually. When the equivalent values of the mass, stiffness, and damping of the system are used, we obtain a single-degree of freedom model of the motorcycle with a rider as indicated in Fig. 1.18(b). In this model, the equivalent stiffness (k_{eq}) includes the stiffnesses of the tires, struts, and rider. The equivalent damping constant (c_{eq}) includes the damping of the struts and the rider. The equivalent mass includes the masses of the wheels, vehicle body, and the rider. This model can be refined by representing the masses of wheels, elasticity of the tires, and elasticity and damping of the struts separately, as shown in Fig. 1.18(c). In this model, the mass of the vehicle body (m_v) and the mass of the rider (m_r) are shown as a single mass, $m_v + m_r$. When the elasticity (as spring constant k_r) and damping (as damping constant c_r) of the rider are considered, the refined model shown in Fig. 1.18(d) can be obtained.

Note that the models shown in Figs. 1.18(b) to (d) are not unique. For example, by combining the spring constants of both tires, the masses of both wheels, and the spring and damping constants of both struts as single quantities, the model shown in Fig. 1.18(e) can be obtained instead of Fig. 1.18(c).

1.7 Spring Elements

A linear spring is a type of mechanical link that is generally assumed to have negligible mass and damping. A force is developed in the spring whenever there is relative motion between the two ends of the spring. The spring force is proportional to the amount of deformation and is given by

$$F = kx \quad (1.1)$$

where F is the spring force, x is the deformation (displacement of one end with respect to the other), and k is the *spring stiffness* or *spring constant*. If we plot a graph between F and x , the result is a straight line according to Eq. (1.1). The work done (U) in deforming a spring is stored as strain or potential energy in the spring, and it is given by

$$U = \frac{1}{2}kx^2 \quad (1.2)$$

Actual springs are nonlinear and follow Eq. (1.1) only up to a certain deformation. Beyond a certain value of deformation (after point A in Fig. 1.19), the stress exceeds the yield point of the material and the force-deformation relation becomes nonlinear [1.23, 1.24]. In many practical applications we assume that the deflections are small and make use of the linear relation in Eq. (1.1). Even if the force-deflection relation of

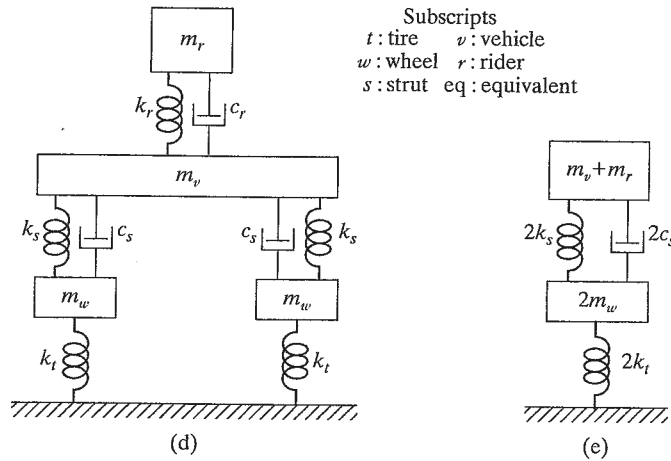
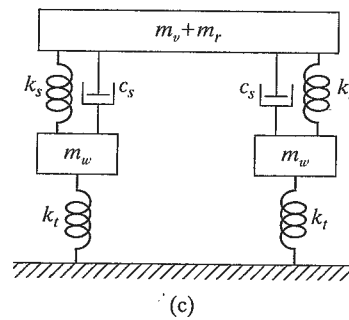
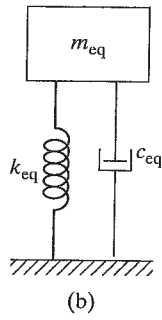
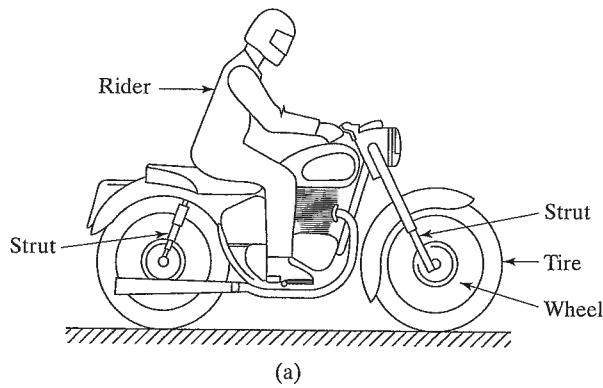


FIGURE 1.18 Motorcycle with a rider—a physical system and mathematical model.

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a spring is nonlinear, as shown in Fig. 1.20, we often approximate it as a linear one by using a linearization process [1.24, 1.25]. To illustrate the linearization process, let the static equilibrium load F acting on the spring cause a deflection of x^* . If an incremental force ΔF is added to F , the spring deflects by an additional quantity Δx . The new spring force $F + \Delta F$ can be expressed using Taylor's series expansion about the static equilibrium position x^* as

$$\begin{aligned} F + \Delta F &= F(x^* + \Delta x) \\ &= F(x^*) + \left. \frac{dF}{dx} \right|_{x^*} (\Delta x) + \frac{1}{2!} \left. \frac{d^2F}{dx^2} \right|_{x^*} (\Delta x)^2 + \dots \end{aligned} \quad (1.3)$$

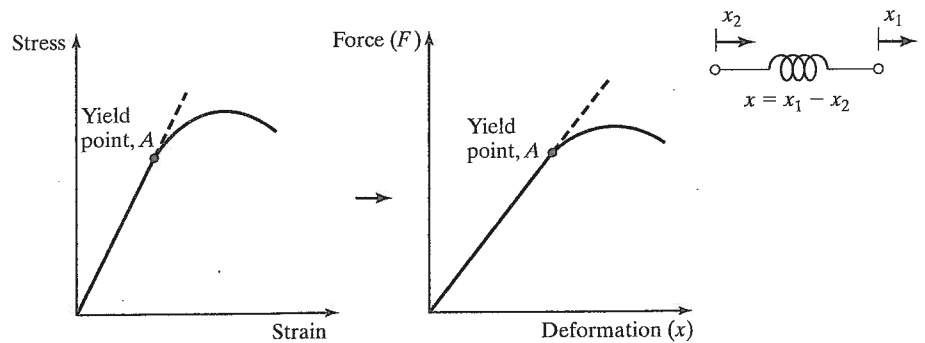


FIGURE 1.19 Nonlinearity beyond proportionality limit.

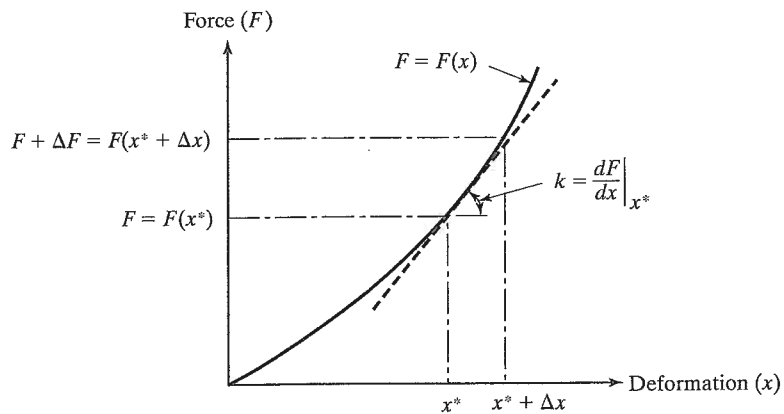


FIGURE 1.20 Linearization process.

For small values of Δx , the higher-order derivative terms can be neglected to obtain

$$F + \Delta F = F(x^*) + \left. \frac{dF}{dx} \right|_{x^*} (\Delta x) \tag{1.4}$$

Since $F = F(x^*)$, we can express ΔF as

$$\Delta F = k \Delta x \tag{1.5}$$

where k is the linearized spring constant at x^* given by

$$k = \left. \frac{dF}{dx} \right|_{x^*}$$

We may use Eq. (1.5) for simplicity, but sometimes the error involved in the approximation may be very large.

Elastic elements like beams also behave as springs. For example, consider a cantilever beam with an end mass m , as shown in Fig. 1.21. We assume, for simplicity, that the mass of the beam is negligible in comparison with the mass m . From strength of materials [1.26], we know that the static deflection of the beam at the free end is given by

$$\delta_{st} = \frac{Wl^3}{3EI} \tag{1.6}$$

where $W = mg$ is the weight of the mass m , E is Young's modulus, and I is the moment of inertia of the cross section of the beam. Hence the spring constant is

$$k = \frac{W}{\delta_{st}} = \frac{3EI}{l^3} \tag{1.7}$$

Similar results can be obtained for beams with different end conditions.

The formulas given in Appendix B can be used to find the spring constants of beams and plates.

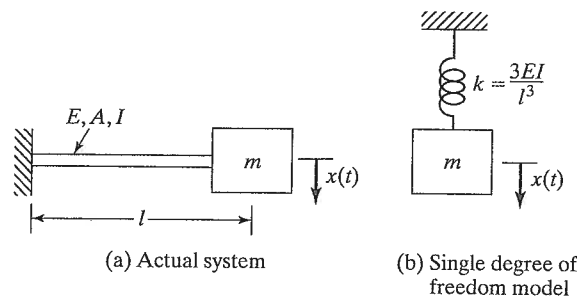


FIGURE 1.21 Cantilever with end mass.

1.7.1 Combination of Springs

In many practical applications, several linear springs are used in combination. These springs can be combined into a single equivalent spring as indicated below.

Case 1: Springs in Parallel. To derive an expression for the equivalent spring constant of springs connected in parallel, consider the two springs shown in Fig. 1.22(a). When a load W is applied, the system undergoes a static deflection δ_{st} as shown in Fig. 1.22(b). Then the free body diagram, shown in Fig. 1.22(c), gives the equilibrium equation

$$W = k_1 \delta_{st} + k_2 \delta_{st} \quad (1.8)$$

If k_{eq} denotes the equivalent spring constant of the combination of the two springs, then for the same static deflection δ_{st} , we have

$$W = k_{eq} \delta_{st} \quad (1.9)$$

Equations (1.8) and (1.9) give

$$k_{eq} = k_1 + k_2 \quad (1.10)$$

In general, if we have n springs with spring constants k_1, k_2, \dots, k_n in parallel, then the equivalent spring constant k_{eq} can be obtained:

$$k_{eq} = k_1 + k_2 + \dots + k_n \quad (1.11)$$

Case 2: Springs in Series. Next we derive an expression for the equivalent spring constant of springs connected in series by considering the two springs shown in Fig. 1.23(a). Under the action of a load W , springs 1 and 2 undergo elongations δ_1 and δ_2 , respectively, as shown in Fig. 1.23(b). The total elongation (or static deflection) of the system, δ_{st} , is given by

$$\delta_{st} = \delta_1 + \delta_2 \quad (1.12)$$

Since both springs are subjected to the same force W , we have the equilibrium shown in Fig. 1.23(c):

$$\begin{aligned} W &= k_1 \delta_1 \\ W &= k_2 \delta_2 \end{aligned} \quad (1.13)$$

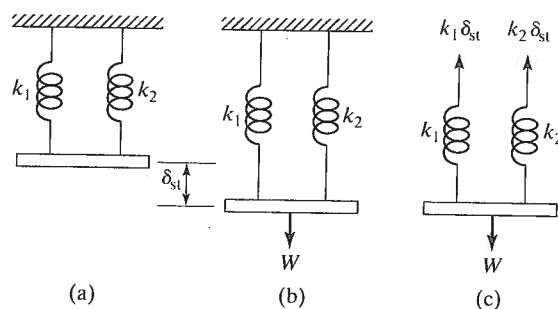


FIGURE 1.22 Springs in parallel.

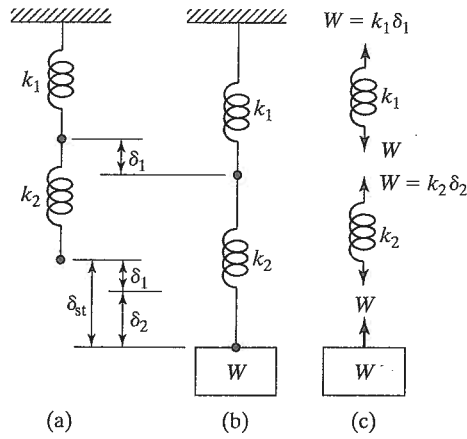


FIGURE 1.23 Springs in series.

If k_{eq} denotes the equivalent spring constant, then for the same static deflection,

$$W = k_{eq} \delta_{st} \tag{1.14}$$

Equations (1.13) and (1.14) give

$$k_1 \delta_1 = k_2 \delta_2 = k_{eq} \delta_{st}$$

or

$$\delta_1 = \frac{k_{eq} \delta_{st}}{k_1} \quad \text{and} \quad \delta_2 = \frac{k_{eq} \delta_{st}}{k_2} \tag{1.15}$$

Substituting these values of δ_1 and δ_2 into Equation (1.12), we obtain

$$\frac{k_{eq} \delta_{st}}{k_1} + \frac{k_{eq} \delta_{st}}{k_2} = \delta_{st}$$

that is,

$$\frac{1}{k_{eq}} = \frac{1}{k_1} + \frac{1}{k_2} \tag{1.16}$$

Equation (1.16) can be generalized to the case of n springs in series:

$$\frac{1}{k_{eq}} = \frac{1}{k_1} + \frac{1}{k_2} + \dots + \frac{1}{k_n} \tag{1.17}$$

In certain applications, springs are connected to rigid components such as pulleys, levers, and gears. In such cases, an equivalent spring constant can be found using energy equivalence, as illustrated in Example 1.5.

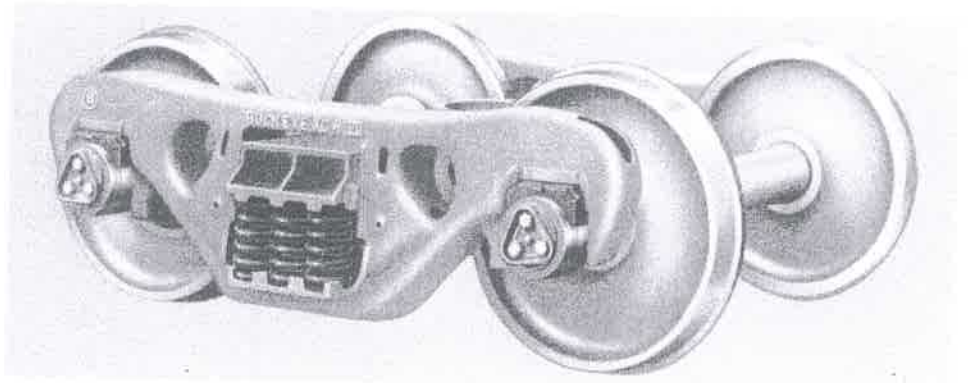


FIGURE 1.24 Parallel arrangement of springs in a freight truck. (Courtesy of Buckeye Steel Castings Company).

EXAMPLE 1.2

Equivalent k of a Suspension System

Figure 1.24 shows the suspension system of a freight truck with a parallel-spring arrangement. Find the equivalent spring constant of the suspension if each of the three helical springs is made of steel with a shear modulus $G = 80 \times 10^9 \text{ N/m}^2$ and has five effective turns, mean coil diameter $D = 20 \text{ cm}$, and wire diameter $d = 2 \text{ cm}$.

Solution: The stiffness of each helical spring is given by

$$k = \frac{d^4 G}{8D^3 n} = \frac{(0.02)^4 (80 \times 10^9)}{8(0.2)^3 (5)} = 40,000.0 \text{ N/m}$$

(See inside front cover for the formula.)

Since the three springs are identical and parallel, the equivalent spring constant of the suspension system is given by

$$k_{\text{eq}} = 3k = 3(40,000.0) = 120,000.0 \text{ N/m}$$

EXAMPLE 1.3

Torsional Spring Constant of a Propeller Shaft

Determine the torsional spring constant of the steel propeller shaft shown in Fig. 1.25.

Solution: We need to consider the segments 12 and 23 of the shaft as springs in combination. From Fig. 1.25, the torque induced at any cross section of the shaft (such as AA or BB) can be seen to be equal to the torque applied at the propeller, T . Hence the elasticities (springs) corresponding to the two segments 12 and 23 are to be considered as series springs. The spring constants of segments 12 and 23 of the shaft ($k_{t_{12}}$ and $k_{t_{23}}$) are given by

$$\begin{aligned} k_{t_{12}} &= \frac{GJ_{12}}{l_{12}} = \frac{G\pi(D_{12}^4 - d_{12}^4)}{32l_{12}} = \frac{(80 \times 10^9)\pi(0.3^4 - 0.2^4)}{32(2)} \\ &= 25.5255 \times 10^6 \text{ N-m/rad} \end{aligned}$$

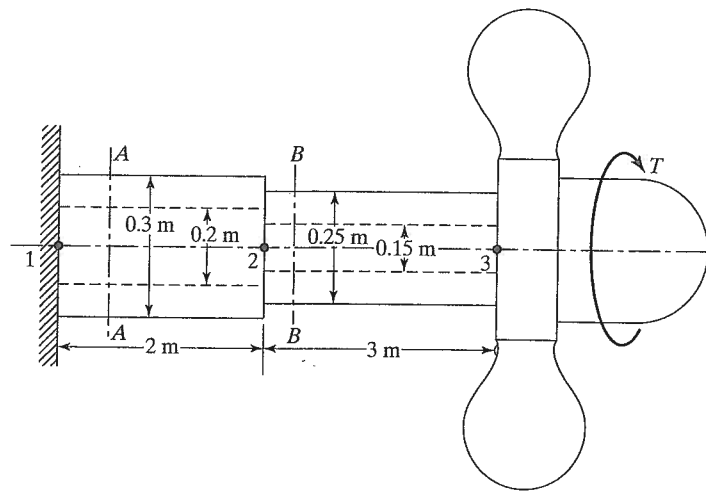


FIGURE 1.25 Propeller shaft.

$$k_{t23} = \frac{GJ_{23}}{l_{23}} = \frac{G\pi(D_{23}^4 - d_{23}^4)}{32l_{23}} = \frac{(80 \times 10^9)\pi(0.25^4 - 0.15^4)}{32(3)} = 8.9012 \times 10^6 \text{ N-m/rad}$$

Since the springs are in series, Eq. (1.16) gives

$$k_{t_{eq}} = \frac{k_{t12} k_{t23}}{k_{t12} + k_{t23}} = \frac{(25.5255 \times 10^6)(8.9012 \times 10^6)}{(25.5255 \times 10^6 + 8.9012 \times 10^6)} = 6.5997 \times 10^6 \text{ N-m/rad}$$

Equivalent k of Hoisting Drum

EXAMPLE 1.4

A hoisting drum, carrying a steel wire rope, is mounted at the end of a cantilever beam as shown in Fig. 1.26(a). Determine the equivalent spring constant of the system when the suspended length of the wire rope is l . Assume that the net cross-sectional diameter of the wire rope is d and the Young's modulus of the beam and the wire rope is E .

Solution: The spring constant of the cantilever beam is given by

$$k_b = \frac{3EI}{b^3} = \frac{3E}{b^3} \left(\frac{1}{12} at^3 \right) = \frac{Eat^3}{4b^3} \tag{E.1}$$

The stiffness of the wire rope subjected to axial loading is

$$k_r = \frac{AE}{l} = \frac{\pi d^2 E}{4l} \tag{E.2}$$

Since both the wire rope and the cantilever beam experience the same load W , as shown in Fig. 1.26(b), they can be modeled as springs in series, as shown in Fig. 1.26(c). The equivalent spring constant k_{eq}

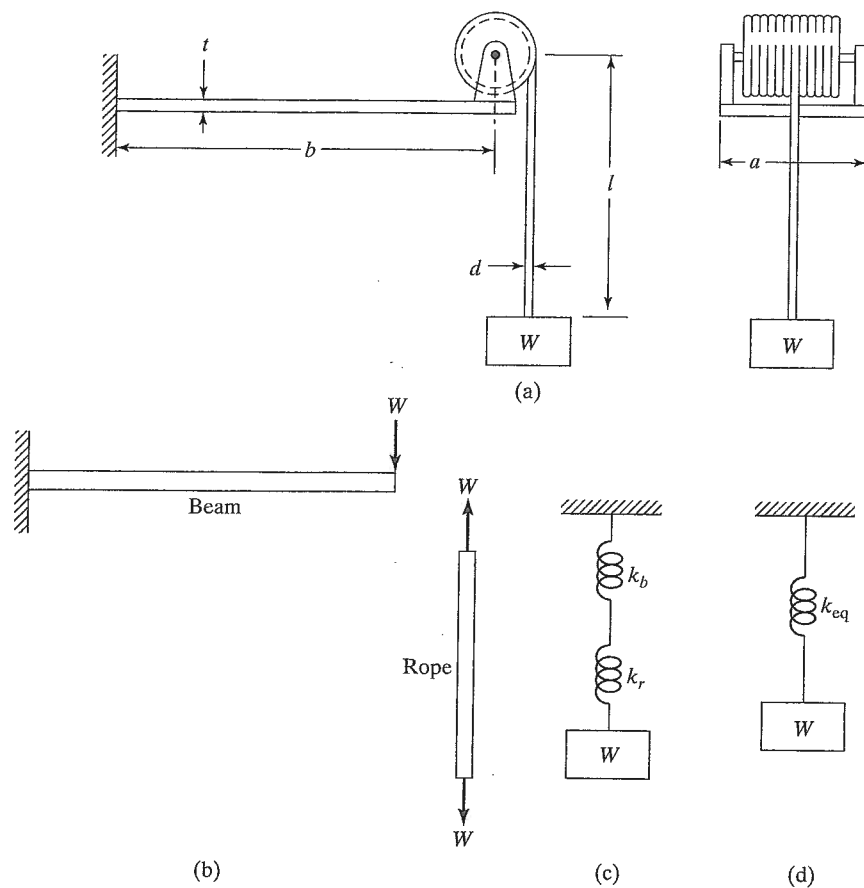


FIGURE 1.26 Hoisting drum.

is given by

$$\frac{1}{k_{eq}} = \frac{1}{k_b} + \frac{1}{k_r} = \frac{4b^3}{Eat^3} + \frac{4l}{\pi d^2 E}$$

or

$$k_{eq} = \frac{E}{4} \left(\frac{\pi at^3 d^2}{\pi d^2 b^3 + lat^3} \right) \tag{E.3}$$

EXAMPLE 1.5

Equivalent k of a Crane

The boom AB of the crane shown in Fig. 1.27(a) is a uniform steel bar of length 10 m and area of cross section $2,500 \text{ mm}^2$. A weight W is suspended while the crane is stationary. The cable $CDEBF$ is made of steel and has a cross-sectional area of 100 mm^2 . Neglecting the effect of the cable $CDEB$, find the equivalent spring constant of the system in the vertical direction.

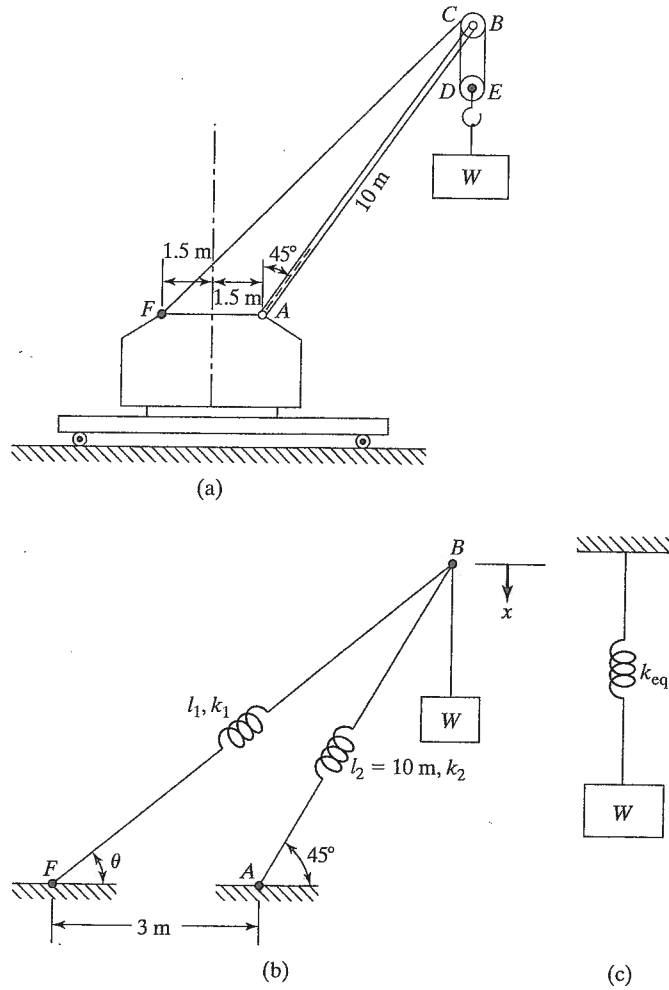


FIGURE 1.27 Crane lifting a load.

Solution: The equivalent spring constant can be found using the equivalence of potential energies of the two systems. Since the base of the crane is rigid, the cable and the boom can be considered to be fixed at points F and A, respectively. Also, the effect of the cable CDEB is negligible; hence the weight W can be assumed to act through point B as shown in Fig. 1.27(b).

A vertical displacement x of point B will cause the spring k_2 (boom) to deform by an amount $x_2 = x \cos 45^\circ$ and the spring k_1 (cable) to deform by an amount $x_1 = x \cos (90^\circ - \theta)$. The length of the cable FB, l_1 , is given by Fig. 1.27(b):

$$l_1^2 = 3^2 + 10^2 - 2(3)(10) \cos 135^\circ = 151.426, \quad l_1 = 12.3055 \text{ m}$$

The angle θ satisfies the relation

$$l_1^2 + 3^2 - 2(l_1)(3) \cos \theta = 10^2, \quad \cos \theta = 0.8184, \quad \theta = 35.0736^\circ$$

(E.3)

Area of CDEBF
Area of CDEB,

The total potential energy (U) stored in the springs k_1 and k_2 can be expressed, using Equation (1.2), as

$$U = \frac{1}{2}k_1(x \cos 45^\circ)^2 + \frac{1}{2}k_2[x \cos(90^\circ - \theta)]^2 \quad (\text{E.1})$$

where

$$k_1 = \frac{A_1 E_1}{l_1} = \frac{(100 \times 10^{-6})(207 \times 10^9)}{12.3055} = 1.6822 \times 10^6 \text{ N/m}$$

and

$$k_2 = \frac{A_2 E_2}{l_2} = \frac{(2500 \times 10^{-6})(207 \times 10^9)}{10} = 5.1750 \times 10^7 \text{ N/m}$$

Since the equivalent spring in the vertical direction undergoes a deformation x , the potential energy of the equivalent spring (U_{eq}) is given by

$$U_{\text{eq}} = \frac{1}{2}k_{\text{eq}}x^2 \quad (\text{E.2})$$

By setting $U = U_{\text{eq}}$, we obtain the equivalent spring constant of the system as

$$k_{\text{eq}} = 26.4304 \times 10^6 \text{ N/m}$$

1.8 Mass or Inertia Elements

The mass or inertia element is assumed to be a rigid body; it can gain or lose kinetic energy whenever the velocity of the body changes. From Newton's second law of motion, the product of the mass and its acceleration is equal to the force applied to the mass. Work is equal to the force multiplied by the displacement in the direction of the force, and the work done on a mass is stored in the form of the mass's kinetic energy.

In most cases, we must use a mathematical model to represent the actual vibrating system, and there are often several possible models. The purpose of the analysis often determines which mathematical model is appropriate. Once the model is chosen, the mass or inertia elements of the system can be easily identified. For example, consider again the cantilever beam with an end mass shown in Fig. 1.21(a). For a quick and reasonably accurate analysis, the mass and damping of the beam can be disregarded; the system can be modeled as a spring-mass system, as shown in Fig. 1.21(b). The tip mass m represents the mass element, and the elasticity of the beam denotes the stiffness of the spring. Next, consider a multistory building subjected to an earthquake. Assuming that the mass of the frame is negligible compared to the masses of the floors, the building can be modeled as a multi-degree of freedom system, as shown in Fig. 1.28. The masses at the various floor levels represent the mass elements, and the elasticities of the vertical members denote the spring elements.

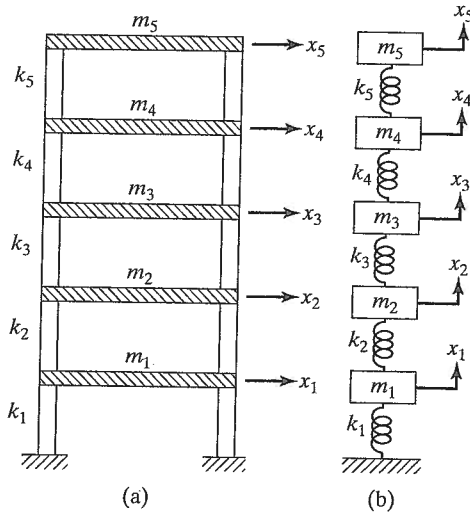


FIGURE 1.28 Idealization of a multistory building as a multi-degree of freedom system.

1.8.1 Combination of Masses

In many practical applications, several masses appear in combination. For a simple analysis, we can replace these masses by a single equivalent mass, as indicated below [1.27].

Case 1: Translational Masses Connected by a Rigid Bar. Let the masses be attached to a rigid bar that is pivoted at one end, as shown in Fig. 1.29(a). The equivalent mass can be assumed to be located at any point along the bar. To be specific, we assume the location of the equivalent mass to be that of mass m_1 . The velocities of masses m_2 (\dot{x}_2) and m_3 (\dot{x}_3) can be expressed in terms of the velocity of mass m_1 (\dot{x}_1), by assuming small angular displacements for the bar, as

$$\dot{x}_2 = \frac{l_2}{l_1} \dot{x}_1, \quad \dot{x}_3 = \frac{l_3}{l_1} \dot{x}_1 \tag{1.18}$$

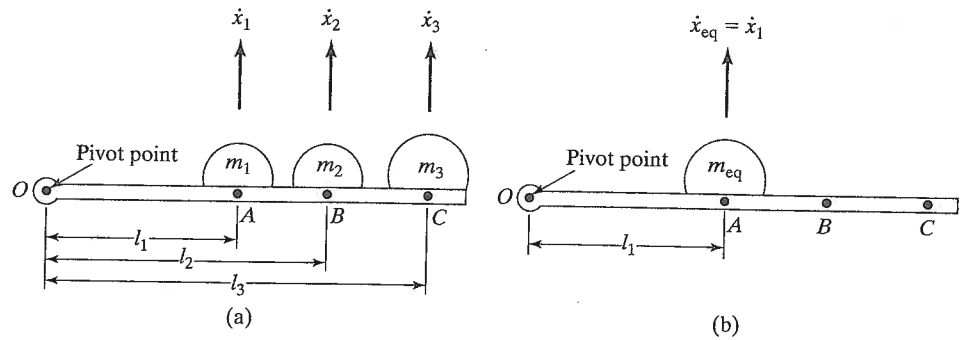


FIGURE 1.29 Translational masses connected by a rigid bar.

and

$$\dot{x}_{\text{eq}} = \dot{x}_1 \quad (1.19)$$

By equating the kinetic energy of the three-mass system to that of the equivalent mass system, we obtain

$$\frac{1}{2}m_1\dot{x}_1^2 + \frac{1}{2}m_2\dot{x}_2^2 + \frac{1}{2}m_3\dot{x}_3^2 = \frac{1}{2}m_{\text{eq}}\dot{x}_{\text{eq}}^2 \quad (1.20)$$

This equation gives, in view of Equations (1.18) and (1.19):

$$m_{\text{eq}} = m_1 + \left(\frac{l_2}{l_1}\right)^2 m_2 + \left(\frac{l_3}{l_1}\right)^2 m_3 \quad (1.21)$$

Case 2: Translational and Rotational Masses Coupled Together. Let a mass m having a translational velocity \dot{x} be coupled to another mass (of mass moment of inertia J_0) having a rotational velocity $\dot{\theta}$, as in the rack and pinion arrangement shown in Fig. 1.30. These two masses can be combined to obtain either (1) a single equivalent translational mass m_{eq} or (2) a single equivalent rotational mass J_{eq} , as shown.

1. *Equivalent translational mass.* The kinetic energy of the two masses is given by

$$T = \frac{1}{2}m\dot{x}^2 + \frac{1}{2}J_0\dot{\theta}^2 \quad (1.22)$$

and the kinetic energy of the equivalent mass can be expressed as

$$T_{\text{eq}} = \frac{1}{2}m_{\text{eq}}\dot{x}_{\text{eq}}^2 \quad (1.23)$$

Since $\dot{x}_{\text{eq}} = \dot{x}$ and $\dot{\theta} = \dot{x}/R$, the equivalence of T and T_{eq} gives

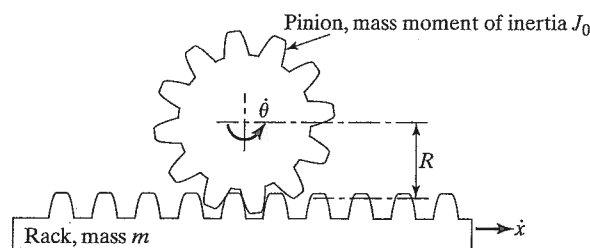


FIGURE 1.30 Translational and rotational masses in a rack and pinion arrangement.

$$\frac{1}{2} m_{eq} \dot{x}^2 = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} J_0 \left(\frac{\dot{x}}{R} \right)^2 \tag{1.19}$$

that is,

$$m_{eq} = m + \frac{J_0}{R^2} \tag{1.24}$$

2. *Equivalent rotational mass.* Here $\dot{\theta}_{eq} = \dot{\theta}$ and $\dot{x} = \dot{\theta}R$, and the equivalence of T and T_{eq} leads to

$$\frac{1}{2} J_{eq} \dot{\theta}^2 = \frac{1}{2} m (\dot{\theta}R)^2 + \frac{1}{2} J_0 \dot{\theta}^2$$

or

$$J_{eq} = J_0 + mR^2 \tag{1.25}$$

EXAMPLE 1.6

Equivalent Mass of a System

Find the equivalent mass of the system shown in Fig. 1.31, where the rigid link 1 is attached to the pulley and rotates with it.

Solution: Assuming small displacements, the equivalent mass (m_{eq}) can be determined using the equivalence of the kinetic energies of the two systems. When the mass m is displaced by a distance

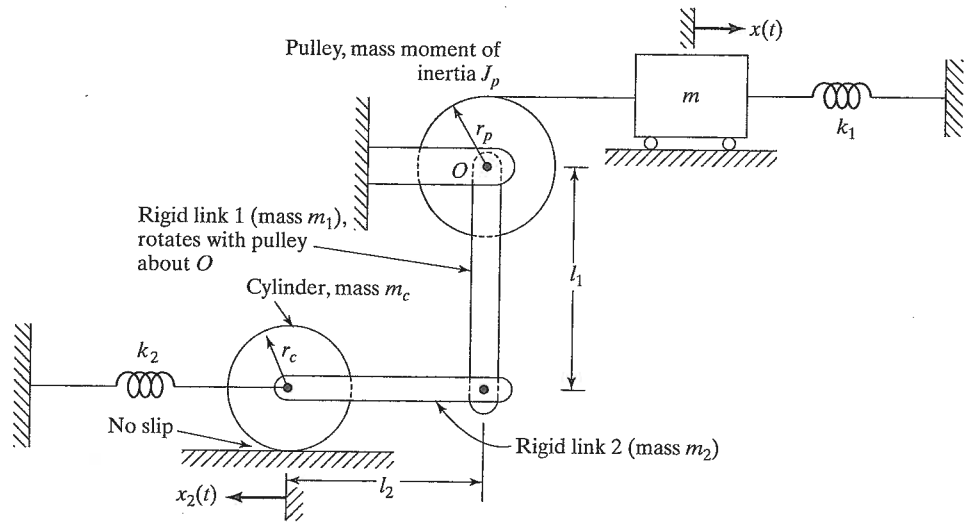


FIGURE 1.31 System considered for finding equivalent mass.

x , the pulley and the rigid link 1 rotate by an angle $\theta_p = \theta_1 = x/r_p$. This causes the rigid link 2 and the cylinder to be displaced by a distance $x_2 = \theta_p l_1 = x l_1/r_p$. Since the cylinder rolls without slippage, it rotates by an angle $\theta_c = x_2/r_c = x l_1/r_p r_c$. The kinetic energy of the system (T) can be expressed (for small displacements) as:

$$T = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} J_p \dot{\theta}_p^2 + \frac{1}{2} J_1 \dot{\theta}_1^2 + \frac{1}{2} m_2 \dot{x}_2^2 + \frac{1}{2} J_c \dot{\theta}_c^2 + \frac{1}{2} m_c \dot{x}_2^2 \quad (\text{E.1})$$

where J_p , J_1 , and J_c denote the mass moments of inertia of the pulley, link 1 (about O), and cylinder, respectively, $\dot{\theta}_p$, $\dot{\theta}_1$, and $\dot{\theta}_c$ indicate the angular velocities of the pulley, link 1 (about O), and cylinder, respectively, and \dot{x} and \dot{x}_2 represent the linear velocities of the mass m and link 2, respectively. Noting that $J_c = m_c r_c^2/2$ and $J_1 = m_1 l_1^2/3$, Equation (E.1) can be rewritten as

$$T = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} J_p \left(\frac{\dot{x}}{r_p} \right)^2 + \frac{1}{2} \left(\frac{m_1 l_1^2}{3} \right) \left(\frac{\dot{x}}{r_p} \right)^2 + \frac{1}{2} m_2 \left(\frac{\dot{x} l_1}{r_p} \right)^2 + \frac{1}{2} \left(\frac{m_c r_c^2}{2} \right) \left(\frac{\dot{x} l_1}{r_p r_c} \right)^2 + \frac{1}{2} m_c \left(\frac{\dot{x} l_1}{r_p} \right)^2 \quad (\text{E.2})$$

By equating Equation (E.2) to the kinetic energy of the equivalent system

$$T = \frac{1}{2} m_{\text{eq}} \dot{x}^2 \quad (\text{E.3})$$

we obtain the equivalent mass of the system as

$$m_{\text{eq}} = m + \frac{J_p}{r_p^2} + \frac{1}{3} \frac{m_1 l_1^2}{r_p^2} + \frac{m_2 l_1^2}{r_p^2} + \frac{1}{2} \frac{m_c l_1^2}{r_p^2} + m_c \frac{l_1^2}{r_p^2} \quad (\text{E.4})$$

EXAMPLE 1.7

Cam-Follower Mechanism

A cam-follower mechanism (Fig. 1.32) is used to convert the rotary motion of a shaft into the oscillating or reciprocating motion of a valve. The follower system consists of a pushrod of mass m_p , a rocker arm of mass m_r , and mass moment of inertia J_r about its C.G., a valve of mass m_v , and a valve spring of negligible mass [1.28–1.30]. Find the equivalent mass (m_{eq}) of this cam-follower system by assuming the location of m_{eq} as (i) point A and (ii) point C.

Solution: The equivalent mass of the cam-follower system can be determined using the equivalence of the kinetic energies of the two systems. Due to a vertical displacement x of the pushrod, the rocker arm rotates by an angle $\theta_r = x/l_1$ about the pivot point, the valve moves downward by

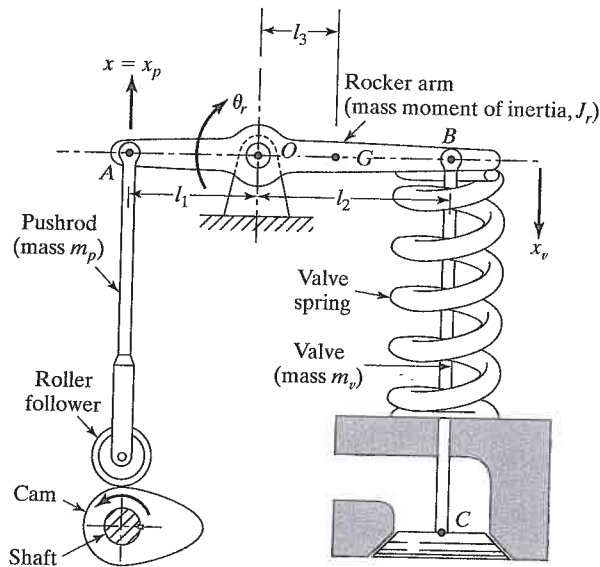


FIGURE 1.32 Cam-follower system.

$x_v = \theta_r l_2 = x_p l_2 / l_1$, and the C.G. of the rocker arm moves downward by $x_r = \theta_r l_3 = x_p l_3 / l_1$. The kinetic energy of the system (T) can be expressed as²

$$T = \frac{1}{2} m_p \dot{x}_p^2 + \frac{1}{2} m_v \dot{x}_v^2 + \frac{1}{2} J_r \dot{\theta}_r^2 + \frac{1}{2} m_r \dot{x}_r^2 \quad (E.1)$$

where \dot{x}_p , \dot{x}_r , and \dot{x}_v are the linear velocities of the pushrod, C.G. of the rocker arm, and the valve, respectively, and $\dot{\theta}_r$ is the angular velocity of the rocker arm.

(i) If m_{eq} denotes the equivalent mass placed at point A, with $\dot{x}_{eq} = \dot{x}$, the kinetic energy of the equivalent mass system T_{eq} is given by

$$T_{eq} = \frac{1}{2} m_{eq} \dot{x}_{eq}^2 \quad (E.2)$$

By equating T and T_{eq} , and noting that

$$\dot{x}_p = \dot{x}, \quad \dot{x}_v = \frac{\dot{x} l_2}{l_1}, \quad \dot{x}_r = \frac{\dot{x} l_3}{l_1}, \quad \text{and} \quad \dot{\theta}_r = \frac{\dot{x}}{l_1}$$

²If the valve spring has a mass m_s , then its equivalent mass will be $\frac{1}{3} m_s$, (see Example 2.8). Thus the kinetic energy of the valve spring will be $\frac{1}{2} (\frac{1}{3} m_s) \dot{x}_v^2$.

we obtain

$$m_{\text{eq}} = m_p + \frac{J_r}{l_1^2} + m_v \frac{l_2^2}{l_1^2} + m_r \frac{l_3^2}{l_1^2} \quad (\text{E.3})$$

(ii) Similarly, if the equivalent mass is located at point C, $\dot{x}_{\text{eq}} = \dot{x}_v$ and

$$T_{\text{eq}} = \frac{1}{2} m_{\text{eq}} \dot{x}_{\text{eq}}^2 = \frac{1}{2} m_{\text{eq}} \dot{x}_v^2 \quad (\text{E.4})$$

Equating (E.4) and (E.1) gives

$$m_{\text{eq}} = m_v + \frac{J_r}{l_2^2} + m_p \left(\frac{l_1}{l_2} \right)^2 + m_r \left(\frac{l_3}{l_2} \right)^2 \quad (\text{E.5})$$

1.9 Damping Elements

In many practical systems, the vibrational energy is gradually converted to heat or sound. Due to the reduction in the energy, the response, such as the displacement of the system, gradually decreases. The mechanism by which the vibrational energy is gradually converted into heat or sound is known as *damping*. Although the amount of energy converted into heat or sound is relatively small, the consideration of damping becomes important for an accurate prediction of the vibration response of a system. A damper is assumed to have neither mass nor elasticity, and damping force exists only if there is relative velocity between the two ends of the damper. It is difficult to determine the causes of damping in practical systems. Hence damping is modeled as one or more of the following types.

Viscous Damping. Viscous damping is the most commonly used damping mechanism in vibration analysis. When mechanical systems vibrate in a fluid medium such as air, gas, water, and oil, the resistance offered by the fluid to the moving body causes energy to be dissipated. In this case, the amount of dissipated energy depends on many factors, such as the size and shape of the vibrating body, the viscosity of the fluid, the frequency of vibration, and the velocity of the vibrating body. In viscous damping, the damping force is proportional to the velocity of the vibrating body. Typical examples of viscous damping include (1) fluid film between sliding surfaces, (2) fluid flow around a piston in a cylinder, (3) fluid flow through an orifice, and (4) fluid film around a journal in a bearing.

Coulomb or Dry Friction Damping. Here the damping force is constant in magnitude but opposite in direction to that of the motion of the vibrating body. It is caused by friction between rubbing surfaces that are either dry or have insufficient lubrication.

Material or Solid or Hysteretic Damping. When materials are deformed, energy is absorbed and dissipated by the material [1.31]. The effect is due to friction between the internal planes, which slip or slide as the deformations take place. When a body having material damping is subjected to vibration, the stress-strain diagram shows a hysteresis

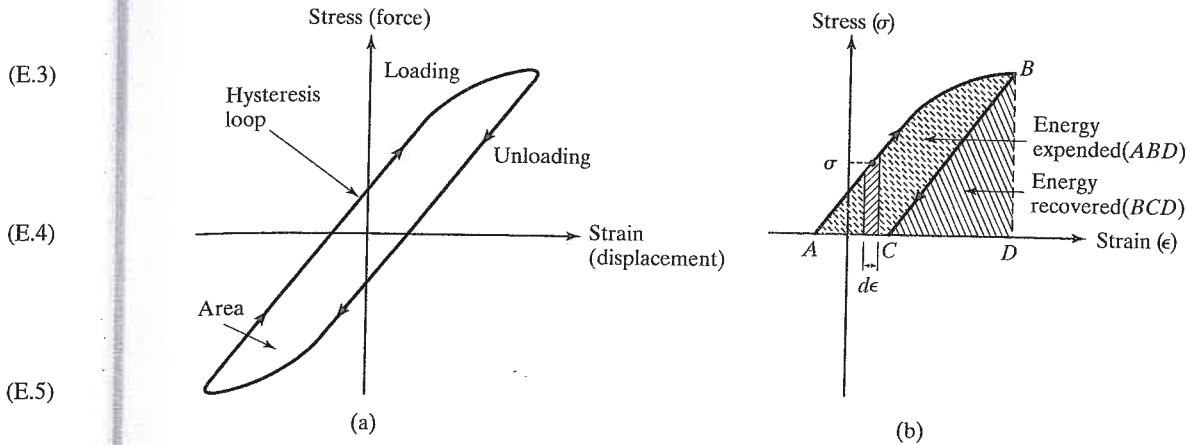


FIGURE 1.33 Hysteresis loop for elastic materials.

loop as indicated in Fig. 1.33(a). The area of this loop denotes the energy lost per unit volume of the body per cycle due to damping.³

1.9.1 Construction of Viscous Dampers

A viscous damper can be constructed using two parallel plates separated by a distance h , with a fluid of viscosity μ between the plates (see Fig. 1.34). Let one plate be fixed and let the other plate be moved with a velocity v in its own plane. The fluid layers in contact with the moving plate move with a velocity v , while those in contact with the fixed plate do not move. The velocities of intermediate fluid layers are assumed to vary linearly between 0 and v , as shown in Fig. 1.34. According to Newton's law of viscous flow, the shear stress (τ) developed in the fluid layer at a distance y from the fixed plate is given by

$$\tau = \mu \frac{du}{dy} \tag{1.26}$$

where $du/dy = v/h$ is the velocity gradient. The shear or resisting force (F) developed at the bottom surface of the moving plate is

$$F = \tau A = \frac{\mu A v}{h} = c v \tag{1.27}$$

where A is the surface area of the moving plate and

$$c = \frac{\mu A}{h} \tag{1.28}$$

is called the damping constant.

³When the load applied to an elastic body is increased, the stress (σ) and the strain (ϵ) in the body also increase. The area under the $\sigma - \epsilon$ curve, given by

$$u = \int \sigma d\epsilon$$

denotes the energy expended (work done) per unit volume of the body. When the load on the body is decreased, energy will be recovered. When the unloading path is different from the loading path, the area ABC in Fig. 1.33(b)—the area of the hysteresis loop in Fig. 1.33(a)—denotes the energy lost per unit volume of the body.

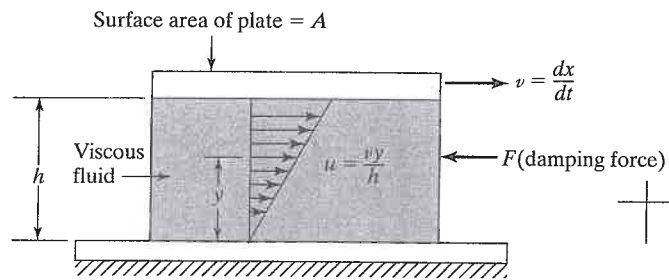


FIGURE 1.34 Parallel plates with a viscous fluid in between.

If a damper is nonlinear, a linearization procedure is generally used about the operating velocity (v^*), as in the case of a nonlinear spring. The linearization process gives the equivalent damping constant as

$$c = \left. \frac{dF}{dv} \right|_{v^*} \quad (1.29)$$

1.9.2 Combination of Dampers

When dampers appear in combination, they can be replaced by an equivalent damper by adopting a procedure similar to the one described in Sections 1.7 and 1.8 (see Problem 1.35).

EXAMPLE 1.8

Clearance in a Bearing

A bearing, which can be approximated as two flat plates separated by a thin film of lubricant (Fig. 1.35), is found to offer a resistance of 400 N when SAE30 oil is used as the lubricant and the relative velocity between the plates is 10 m/s. If the area of the plates (A) is 0.1 m^2 , determine the clearance between the plates. Assume the absolute viscosity of SAE30 oil as $50\mu \text{ reyn}$ or $0.3445 \text{ Pa}\cdot\text{s}$.

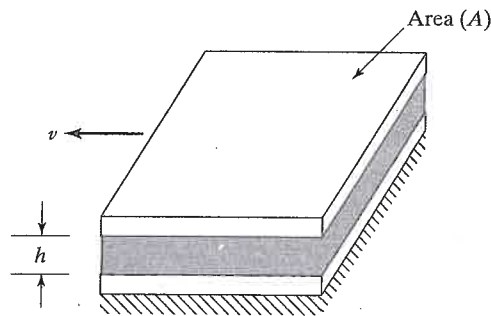


FIGURE 1.35 Flat plates separated by thin film of lubricant.

Solution: Since the resisting force (F) can be expressed as $F = cv$, where c is the damping constant and v is the velocity, we have

$$c = \frac{F}{v} = \frac{400}{10} = 40 \text{ N} \cdot \text{s/m} \tag{E.1}$$

By modeling the bearing as a flat plate-type damper, the damping constant is given by Eq. (1.28):

$$c = \frac{\mu A}{h} \tag{E.2}$$

Using the known data, Eq. (E.2) gives

$$c = 40 = \frac{(0.3445)(0.1)}{h} \text{ or } h = 0.86125 \text{ mm} \tag{E.3}$$

Piston-Cylinder Dashpot

EXAMPLE 1.9

Develop an expression for the damping constant of the dashpot shown in Fig. 1.36(a).

Solution: The damping constant of the dashpot can be determined using the shear stress equation for viscous fluid flow and the rate of fluid flow equation. As shown in Fig. 1.36(a), the dashpot consists of a piston of diameter D and length l , moving with velocity v_0 in a cylinder filled with a liquid of viscosity μ [1.24, 1.32]. Let the clearance between the piston and the cylinder wall be d . At a distance y from the moving surface, let the velocity and shear stress be v and τ , and at a distance

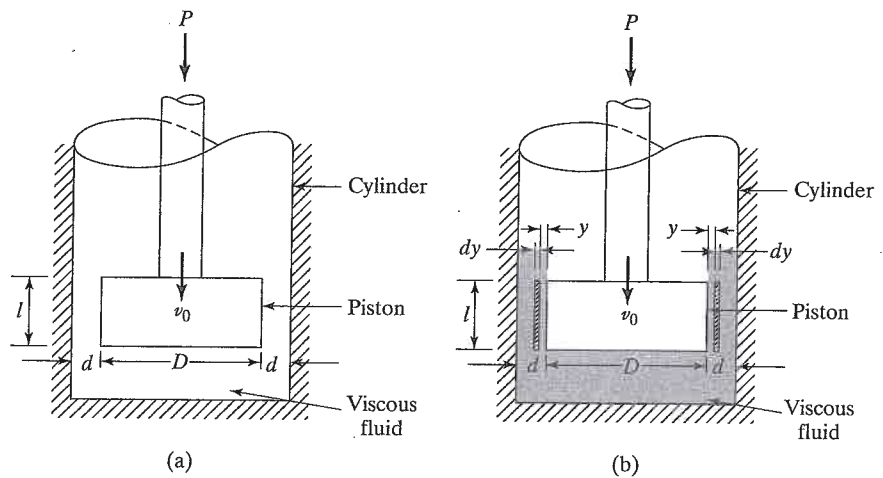


FIGURE 1.36 A dashpot.

$(y + dy)$ let the velocity and shear stress be $(v - dv)$ and $(\tau + d\tau)$, respectively (see Fig. 1.36b). The negative sign for dv shows that the velocity decreases as we move toward the cylinder wall. The viscous force on this annular ring is equal to

$$F = \pi D l d\tau = \pi D l \frac{d\tau}{dy} dy \quad (\text{E.1})$$

But the shear stress is given by

$$\tau = -\mu \frac{dv}{dy} \quad (\text{E.2})$$

where the negative sign is consistent with a decreasing velocity gradient [1.33]. Using Eq. (E.2) in Eq. (E.1), we obtain

$$F = -\pi D l dy \mu \frac{d^2v}{dy^2} \quad (\text{E.3})$$

The force on the piston will cause a pressure difference on the ends of the element, given by

$$p = \frac{P}{\left(\frac{\pi D^2}{4}\right)} = \frac{4P}{\pi D^2} \quad (\text{E.4})$$

Thus the pressure force on the end of the element is

$$p(\pi D dy) = \frac{4P}{D} dy \quad (\text{E.5})$$

where $(\pi D dy)$ denotes the annular area between y and $(y + dy)$. If we assume uniform mean velocity in the direction of motion of the fluid, the forces given in Eqs. (E.3) and (E.5) must be equal. Thus we get

$$\frac{4P}{D} dy = -\pi D l dy \mu \frac{d^2v}{dy^2}$$

or

$$\frac{d^2v}{dy^2} = -\frac{4P}{\pi D^2 l \mu} \quad (\text{E.6})$$

Integrating this equation twice and using the boundary conditions $v = -v_0$ at $y = 0$ and $v = 0$ at $y = d$, we obtain

$$v = \frac{2P}{\pi D^2 l \mu} (yd - y^2) - v_0 \left(1 - \frac{y}{d}\right) \quad (\text{E.7})$$

The rate of flow through the clearance space can be obtained by integrating the rate of flow through an element between the limits $y = 0$ and $y = d$:

$$Q = \int_0^d v\pi D dy = \pi D \left[\frac{2Pd^3}{6\pi D^2 l \mu} - \frac{1}{2} v_0 d \right] \tag{E.8}$$

The volume of the liquid flowing through the clearance space per second must be equal to the volume per second displaced by the piston. Hence the velocity of the piston will be equal to this rate of flow divided by the piston area. This gives

$$v_0 = \frac{Q}{\left(\frac{\pi}{4} D^2\right)} \tag{E.9}$$

Equations (E.9) and (E.8) lead to

$$P = \left[\frac{3\pi D^3 l \left(1 + \frac{2d}{D}\right)}{4d^3} \right] \mu v_0 \tag{E.10}$$

By writing the force as $P = cv_0$, the damping constant c can be found as

$$c = \mu \left[\frac{3\pi D^3 l}{4d^3} \left(1 + \frac{2d}{D}\right) \right] \tag{E.11}$$

Equivalent Spring and Damping Constants of a Machine Tool Support

EXAMPLE 1.10

A precision milling machine is supported on four shock mounts, as shown in Fig. 1.37(a). The elasticity and damping of each shock mount can be modeled as a spring and a viscous damper, as shown in Fig. 1.37(b). Find the equivalent spring constant, k_{eq} , and the equivalent damping constant, c_{eq} , of the machine tool support in terms of the spring constants (k_i) and damping constants (c_i) of the mounts.

Solution: The free-body diagrams of the four springs and four dampers are shown in Fig. 1.37(c). Assuming that the center of mass, G , is located symmetrically with respect to the four springs and dampers, we notice that all the springs will be subjected to the same displacement, x , and all the dampers will be subject to the same relative velocity \dot{x} , where x and \dot{x} denote the displacement and velocity, respectively, of the center of mass, G . Hence the forces acting on the springs (F_{si}) and the dampers (F_{di}) can be expressed as

$$\begin{aligned} F_{si} &= k_i x; \quad i = 1, 2, 3, 4 \\ F_{di} &= c_i \dot{x}; \quad i = 1, 2, 3, 4 \end{aligned} \tag{E.1}$$

Let the total forces acting on all the springs and all the dampers be F_s and F_d , respectively (see Fig. 1.37d). The force equilibrium equations can thus be expressed as

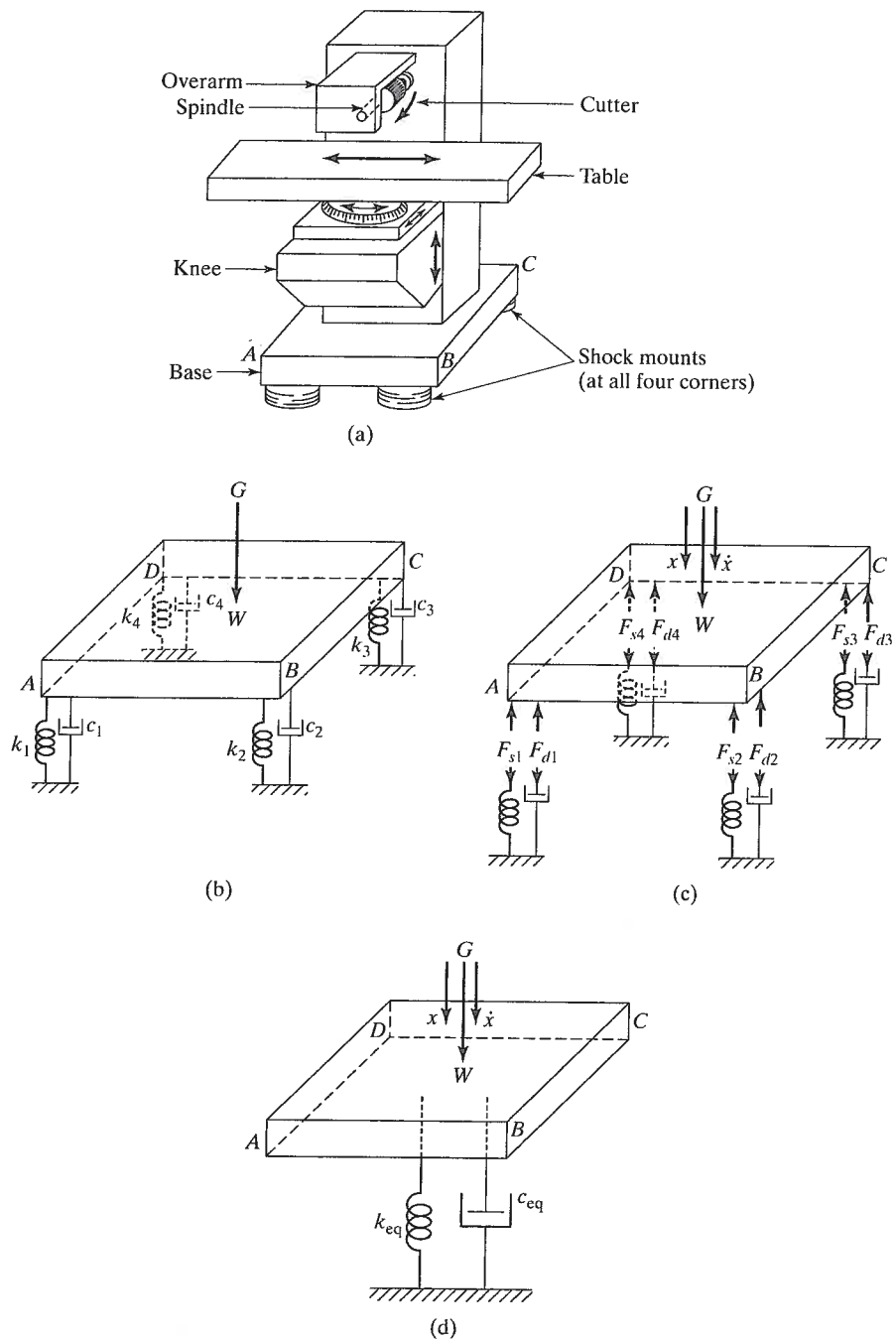


FIGURE 1.37 Horizontal milling machine.

$$\begin{aligned} F_s &= F_{s1} + F_{s2} + F_{s3} + F_{s4} \\ F_d &= F_{d1} + F_{d2} + F_{d3} + F_{d4} \end{aligned} \quad (\text{E.2})$$

where $F_s + F_d = W$, with W denoting the total vertical force (including the inertia force) acting on the milling machine. From Fig. 1.37(d), we have

$$\begin{aligned} F_s &= k_{\text{eq}} x \\ F_d &= c_{\text{eq}} \dot{x} \end{aligned} \quad (\text{E.3})$$

Equation (E.2) along with Eqs. (E.1) and (E.3), yield

$$\begin{aligned} k_{\text{eq}} &= k_1 + k_2 + k_3 + k_4 = 4k \\ c_{\text{eq}} &= c_1 + c_2 + c_3 + c_4 = 4c \end{aligned} \quad (\text{E.4})$$

when $k_i = k$ and $c_i = c$ for $i = 1, 2, 3, 4$.

Note: If the center of mass, G , is not located symmetrically with respect to the four springs and dampers, the i th spring experiences a displacement of x_i and the i th damper experiences a velocity of \dot{x}_i where x_i and \dot{x}_i can be related to the displacement x and velocity \dot{x} of the center of mass of the milling machine, G . In such a case, Eqs. (E.1) and (E.4) need to be modified suitably. ■

1.10 Harmonic Motion

Oscillatory motion may repeat itself regularly, as in the case of a simple pendulum, or it may display considerable irregularity, as in the case of ground motion during an earthquake. If the motion is repeated after equal intervals of time, it is called *periodic motion*. The simplest type of periodic motion is *harmonic motion*. The motion imparted to the mass m due to the Scotch yoke mechanism shown in Fig. 1.38 is an example of simple harmonic motion [1.24, 1.34, 1.35]. In this system, a crank of radius A rotates about the point O . The other end of the crank, P , slides in a slotted rod, which reciprocates in the vertical guide R . When the crank rotates at an angular velocity ω , the end point S of the slotted link and hence the mass m of the spring-mass system are displaced from their middle positions by an amount x (in time t) given by

$$x = A \sin \theta = A \sin \omega t \quad (1.30)$$

This motion is shown by the sinusoidal curve in Fig. 1.38. The velocity of the mass m at time t is given by

$$\frac{dx}{dt} = \omega A \cos \omega t \quad (1.31)$$

and the acceleration by

$$\frac{d^2x}{dt^2} = -\omega^2 A \sin \omega t = -\omega^2 x \quad (1.32)$$

It can be seen that the acceleration is directly proportional to the displacement. Such a vibration, with the acceleration proportional to the displacement and directed toward the

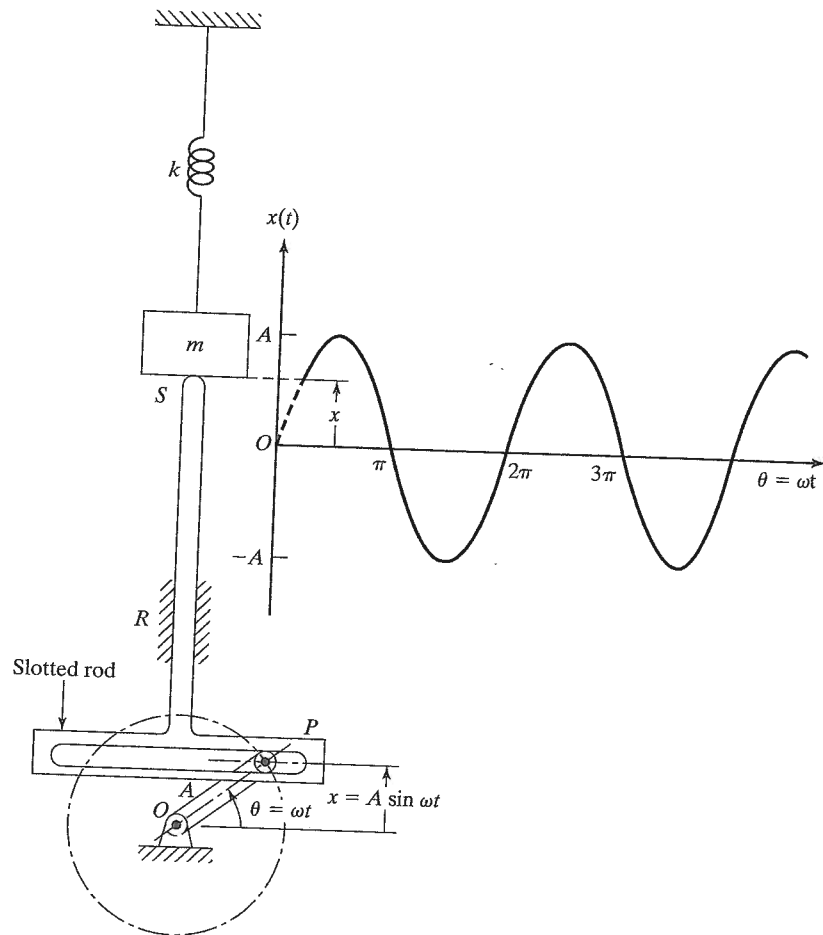


FIGURE 1.38 Scotch yoke mechanism.

mean position, is known as *simple harmonic motion*. The motion given by $x = A \cos \omega t$ is another example of a simple harmonic motion. Figure 1.38 clearly shows the similarity between cyclic (harmonic) motion and sinusoidal motion.

1.10.1 Vectorial Representation of Harmonic Motion

Harmonic motion can be represented conveniently by means of a vector \vec{OP} of magnitude A rotating at a constant angular velocity ω . In Fig. 1.39, the projection of the tip of the vector $\vec{X} = \vec{OP}$ on the vertical axis is given by

$$y = A \sin \omega t \quad (1.33)$$

and its projection on the horizontal axis by

$$x = A \cos \omega t \quad (1.34)$$

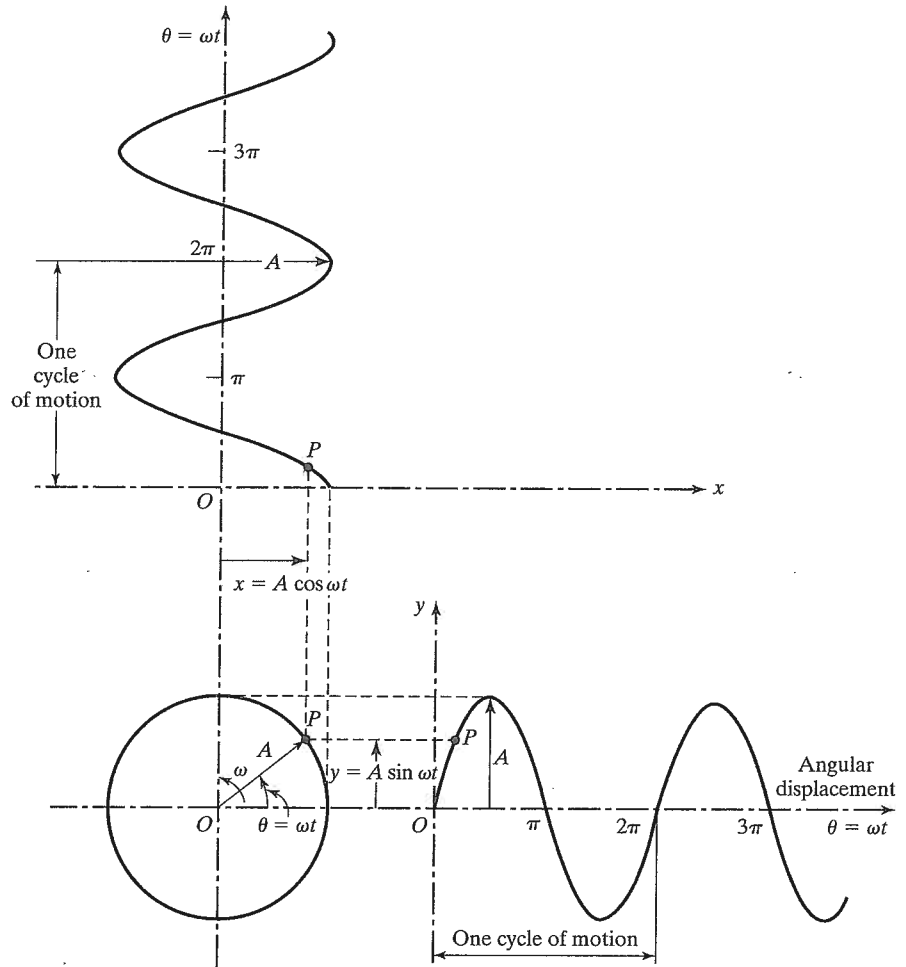


FIGURE 1.39 Harmonic motion as the projection of the end of a rotating vector.

**1.10.2
Complex
Number
Representation
of Harmonic
Motion**

As seen above, the vectorial method of representing harmonic motion requires the description of both the horizontal and vertical components. It is more convenient to represent harmonic motion using a complex number representation. Any vector \vec{X} in the xy plane can be represented as a complex number:

$$\vec{X} = a + ib \tag{1.35}$$

where $i = \sqrt{-1}$ and a and b denote the x and y components of \vec{X} , respectively (see Fig. 1.40). Components a and b are also called the *real* and *imaginary* parts of the vector \vec{X} . If

$A \cos \omega t$
similarity

magnitude
of the vec-

(1.33)

(1.34)

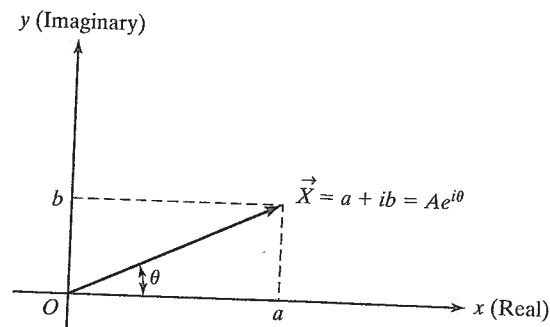


FIGURE 1.40 Representation of a complex number.

A denotes the modulus or absolute value of the vector \vec{X} , and θ represents the argument or the angle between the vector and the x -axis, then \vec{X} can also be expressed as

$$\vec{X} = A \cos \theta + iA \sin \theta \quad (1.36)$$

with

$$A = (a^2 + b^2)^{1/2} \quad (1.37)$$

and

$$\theta = \tan^{-1} \frac{b}{a} \quad (1.38)$$

Noting that $i^2 = -1$, $i^3 = -i$, $i^4 = 1$, ..., $\cos \theta$ and $i \sin \theta$ can be expanded in a series as

$$\cos \theta = 1 - \frac{\theta^2}{2!} + \frac{\theta^4}{4!} - \dots = 1 + \frac{(i\theta)^2}{2!} + \frac{(i\theta)^4}{4!} + \dots \quad (1.39)$$

$$i \sin \theta = i \left[\theta - \frac{\theta^3}{3!} + \frac{\theta^5}{5!} - \dots \right] = i\theta + \frac{(i\theta)^3}{3!} + \frac{(i\theta)^5}{5!} + \dots \quad (1.40)$$

Equations (1.39) and (1.40) yield

$$(\cos \theta + i \sin \theta) = 1 + i\theta + \frac{(i\theta)^2}{2!} + \frac{(i\theta)^3}{3!} + \dots = e^{i\theta} \quad (1.41)$$

and

$$(\cos \theta - i \sin \theta) = 1 - i\theta + \frac{(i\theta)^2}{2!} - \frac{(i\theta)^3}{3!} + \dots = e^{-i\theta} \quad (1.42)$$

Thus Eq. (1.36) can be expressed as

$$\vec{X} = A(\cos \theta + i \sin \theta) = Ae^{i\theta} \tag{1.43}$$

**1.10.3
Complex Algebra**

Complex numbers are often represented without using a vector notation as

$$z = a + ib \tag{1.44}$$

where a and b denote the real and imaginary parts of z . The addition, subtraction, multiplication, and division of complex numbers can be achieved by using the usual rules of algebra. Let

$$z_1 = a_1 + ib_1 = A_1e^{i\theta_1} \tag{1.45}$$

$$z_2 = a_2 + ib_2 = A_2e^{i\theta_2} \tag{1.46}$$

where

$$A_j = \sqrt{a_j^2 + b_j^2}; j = 1, 2 \tag{1.47}$$

and

$$\theta_j = \tan^{-1}\left(\frac{b_j}{a_j}\right); j = 1, 2 \tag{1.48}$$

The sum and difference of z_1 and z_2 can be found as

$$\begin{aligned} z_1 + z_2 &= A_1e^{i\theta_1} + A_2e^{i\theta_2} = (a_1 + ib_1) + (a_2 + ib_2) \\ &= (a_1 + a_2) + i(b_1 + b_2) \end{aligned} \tag{1.49}$$

$$\begin{aligned} z_1 - z_2 &= A_1e^{i\theta_1} - A_2e^{i\theta_2} = (a_1 + ib_1) - (a_2 + ib_2) \\ &= (a_1 - a_2) + i(b_1 - b_2) \end{aligned} \tag{1.50}$$

**1.10.4
Operations on
Harmonic
Functions**

Using complex number representation, the rotating vector \vec{X} of Fig. 1.39 can be written as

$$\vec{X} = Ae^{i\omega t} \tag{1.51}$$

where ω denotes the circular frequency (rad/sec) of rotation of the vector \vec{X} in counter-clockwise direction. The differentiation of the harmonic motion given by Eq. (1.51) with respect to time gives

$$\frac{d\vec{X}}{dt} = \frac{d}{dt}(Ae^{i\omega t}) = i\omega Ae^{i\omega t} = i\omega\vec{X} \tag{1.52}$$

$$\frac{d^2\vec{X}}{dt^2} = \frac{d}{dt}(i\omega Ae^{i\omega t}) = -\omega^2 Ae^{i\omega t} = -\omega^2\vec{X} \tag{1.53}$$

Thus the displacement, velocity, and acceleration can be expressed as⁴

$$\text{displacement} = \text{Re}[Ae^{i\omega t}] = A \cos \omega t \quad (1.54)$$

$$\begin{aligned} \text{velocity} &= \text{Re}[i\omega Ae^{i\omega t}] = -\omega A \sin \omega t \\ &= \omega A \cos(\omega t + 90^\circ) \end{aligned} \quad (1.55)$$

$$\begin{aligned} \text{acceleration} &= \text{Re}[-\omega^2 Ae^{i\omega t}] = -\omega^2 A \cos \omega t \\ &= \omega^2 A \cos(\omega t + 180^\circ) \end{aligned} \quad (1.56)$$

where Re denotes the real part. These quantities are shown as rotating vectors in Fig. 1.41. It can be seen that the acceleration vector leads the velocity vector by 90° , and the latter leads the displacement vector by 90° .

Harmonic functions can be added vectorially, as shown in Fig. 1.42. If $\text{Re}(\vec{X}_1) = A_1 \cos \omega t$ and $\text{Re}(\vec{X}_2) = A_2 \cos(\omega t + \theta)$, then the magnitude of the resultant vector \vec{X} is given by

$$A = \sqrt{(A_1 + A_2 \cos \theta)^2 + (A_2 \sin \theta)^2} \quad (1.57)$$

and the angle α by

$$\alpha = \tan^{-1} \left(\frac{A_2 \sin \theta}{A_1 + A_2 \cos \theta} \right) \quad (1.58)$$

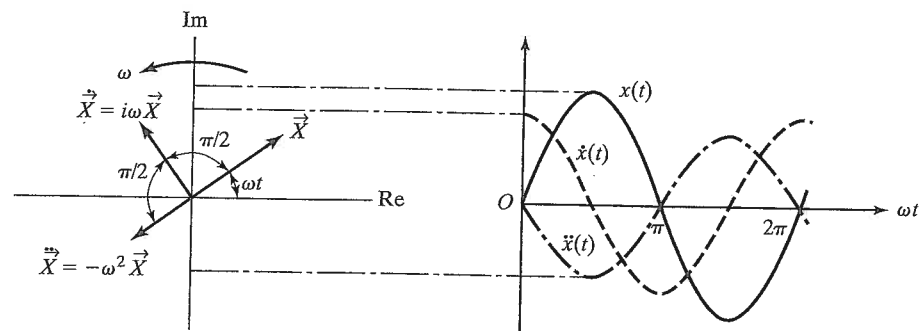


FIGURE 1.41 Displacement, velocity, and accelerations as rotating vectors.

⁴If the harmonic displacement is originally given as $x(t) = A \sin \omega t$, then we have

$$\text{displacement} = \text{Im}[Ae^{i\omega t}] = A \sin \omega t$$

$$\text{velocity} = \text{Im}[i\omega Ae^{i\omega t}] = \omega A \sin(\omega t + 90^\circ)$$

$$\text{acceleration} = \text{Im}[-\omega^2 Ae^{i\omega t}] = \omega^2 A \sin(\omega t + 180^\circ)$$

where Im denotes the imaginary part.

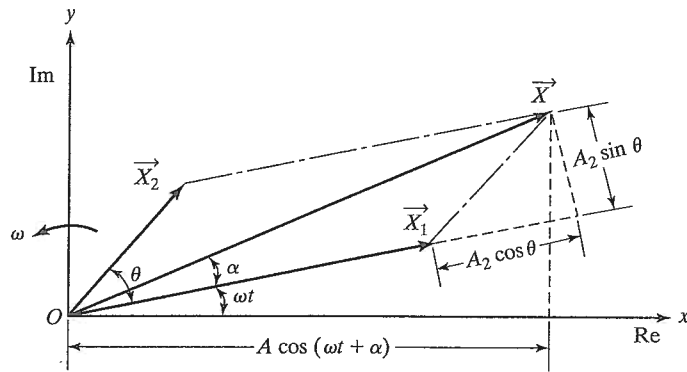


FIGURE 1.42 Vectorial addition of harmonic functions.

Since the original functions are given as real components, the sum $\vec{X}_1 + \vec{X}_2$ is given by $\text{Re}(\vec{X}) = A \cos(\omega t + \alpha)$.

Addition of Harmonic Motions

EXAMPLE 1.11

Find the sum of the two harmonic motions $x_1(t) = 10 \cos \omega t$ and $x_2(t) = 15 \cos(\omega t + 2)$.

Solution:

Method 1: By using trigonometric relations: Since the circular frequency is the same for both $x_1(t)$ and $x_2(t)$, we express the sum as

$$x(t) = A \cos(\omega t + \alpha) = x_1(t) + x_2(t) \quad (\text{E.1})$$

That is,

$$\begin{aligned} A(\cos \omega t \cos \alpha - \sin \omega t \sin \alpha) &= 10 \cos \omega t + 15 \cos(\omega t + 2) \\ &= 10 \cos \omega t + 15(\cos \omega t \cos 2 - \sin \omega t \sin 2) \end{aligned} \quad (\text{E.2})$$

That is,

$$\begin{aligned} \cos \omega t(A \cos \alpha) - \sin \omega t(A \sin \alpha) &= \cos \omega t(10 + 15 \cos 2) \\ &\quad - \sin \omega t(15 \sin 2) \end{aligned} \quad (\text{E.3})$$

By equating the corresponding coefficients of $\cos \omega t$ and $\sin \omega t$ on both sides, we obtain

$$\begin{aligned} A \cos \alpha &= 10 + 15 \cos 2 \\ A \sin \alpha &= 15 \sin 2 \\ A &= \sqrt{(10 + 15 \cos 2)^2 + (15 \sin 2)^2} \\ &= 14.1477 \end{aligned} \quad (\text{E.4})$$

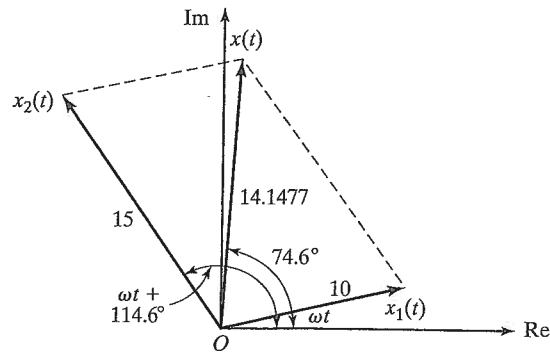


FIGURE 1.43 Addition of harmonic motions.

and

$$\alpha = \tan^{-1}\left(\frac{15 \sin 2}{10 + 15 \cos 2}\right) = 74.5963^\circ \quad (\text{E.5})$$

Method 2: By using vectors: For an arbitrary value of ωt , the harmonic motions $x_1(t)$ and $x_2(t)$ can be denoted graphically as shown in Fig. 1.43. By adding them vectorially, the resultant vector $x(t)$ can be found to be

$$x(t) = 14.1477 \cos(\omega t + 74.5963^\circ) \quad (\text{E.6})$$

Method 3: By using complex number representation: The two harmonic motions can be denoted in terms of complex numbers:

$$\begin{aligned} x_1(t) &= \text{Re}[A_1 e^{i\omega t}] \equiv \text{Re}[10e^{i\omega t}] \\ x_2(t) &= \text{Re}[A_2 e^{i(\omega t + 2)}] \equiv \text{Re}[15e^{i(\omega t + 2)}] \end{aligned} \quad (\text{E.7})$$

The sum of $x_1(t)$ and $x_2(t)$ can be expressed as

$$x(t) = \text{Re}[Ae^{i(\omega t + \alpha)}] \quad (\text{E.8})$$

where A and α can be determined using Eqs. (1.47) and (1.48) as $A = 14.1477$ and $\alpha = 74.5963^\circ$.

1.10.5 Definitions and Terminology

The following definitions and terminology are useful in dealing with harmonic motion and other periodic functions.

Cycle. The movement of a vibrating body from its undisturbed or equilibrium position to its extreme position in one direction, then to the equilibrium position, then to its extreme position in the other direction, and back to equilibrium position is called a *cycle* of vibration.

One revolution (i.e., angular displacement of 2π radians) of the pin P in Fig. 1.38 or one revolution of the vector \overline{OP} in Fig. 1.39 constitutes a cycle.

Amplitude. The maximum displacement of a vibrating body from its equilibrium position is called the *amplitude* of vibration. In Figs. 1.38 and 1.39 the amplitude of vibration is equal to A .

Period of oscillation. The time taken to complete one cycle of motion is known as the *period of oscillation* or *time period* and is denoted by τ . It is equal to the time required for the vector \overline{OP} in Fig. 1.39 to rotate through an angle of 2π and hence

$$\tau = \frac{2\pi}{\omega} \tag{1.59}$$

where ω is called the circular frequency.

Frequency of oscillation. The number of cycles per unit time is called the *frequency of oscillation* or simply the *frequency* and is denoted by f . Thus

$$f = \frac{1}{\tau} = \frac{\omega}{2\pi} \tag{1.60}$$

Here ω is called the circular frequency to distinguish it from the linear frequency $f = \omega/2\pi$. The variable ω denotes the angular velocity of the cyclic motion; f is measured in cycles per second (Hertz) while ω is measured in radians per second.

Phase angle. Consider two vibratory motions denoted by

$$x_1 = A_1 \sin \omega t \tag{1.61}$$

$$x_2 = A_2 \sin(\omega t + \phi) \tag{1.62}$$

The two harmonic motions given by Eqs. (1.61) and (1.62) are called *synchronous* because they have the same frequency or angular velocity, ω . Two synchronous oscillations need not have the same amplitude, and they need not attain their maximum values at the same time. The motions given by Eqs. (1.61) and (1.62) can be represented graphically as shown in Fig. 1.44. In this figure, the second vector \overline{OP}_2 leads the first one \overline{OP}_1 by an angle ϕ , known as the *phase angle*. This means that the maximum of the second vector would occur ϕ radians earlier than that of the first vector. Note that instead of maxima, any other corresponding points can be taken for finding the phase angle. In Eqs. (1.61) and (1.62) or in Fig. 1.44, the two vectors are said to have a *phase difference* of ϕ .

Natural frequency. If a system, after an initial disturbance, is left to vibrate on its own, the frequency with which it oscillates without external forces is known as its *natural frequency*. As will be seen later, a vibratory system having n degrees of freedom will have, in general, n distinct natural frequencies of vibration.

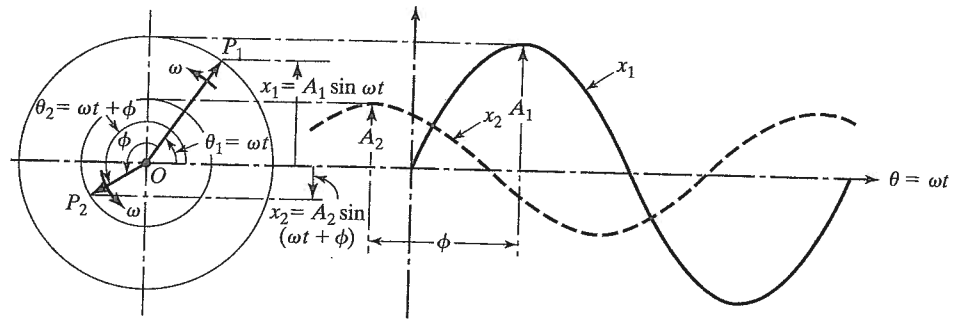


FIGURE 1.44 Phase difference between two vectors.

Beats. When two harmonic motions, with frequencies close to one another, are added, the resulting motion exhibits a phenomenon known as beats. For example, if

$$x_1(t) = X \cos \omega t \quad (1.63)$$

$$x_2(t) = X \cos (\omega + \delta)t \quad (1.64)$$

where δ is a small quantity, the addition of these motions yields

$$x(t) = x_1(t) + x_2(t) = X[\cos \omega t + \cos (\omega + \delta)t] \quad (1.65)$$

Using the relation

$$\cos A + \cos B = 2 \cos \left(\frac{A + B}{2} \right) \cos \left(\frac{A - B}{2} \right) \quad (1.66)$$

Eq. (1.65) can be rewritten as

$$x(t) = 2X \cos \frac{\delta t}{2} \cos \left(\omega + \frac{\delta}{2} \right) t \quad (1.67)$$

This equation is shown graphically in Fig. 1.45. It can be seen that the resulting motion, $x(t)$, represents a cosine wave with frequency $\omega + \delta/2$, which is approximately equal to ω , and with a varying amplitude of $2X \cos \delta t/2$. Whenever the amplitude reaches a maximum, it is called a beat. The frequency (δ) at which the amplitude builds up and dies down between 0 and $2X$ is known as beat frequency. The phenomenon of beats is often observed in machines, structures, and electric power houses. For example, in machines and structures, the beating phenomenon occurs when the forcing frequency is close to the natural frequency of the system (see Section 3.3.2).

Octave. When the maximum value of a range of frequency is twice its minimum value, it is known as an octave band. For example, each of the ranges 75–150 Hz, 150–300 Hz, and 300–600 Hz can be called an octave band. In each case, the maximum and minimum values of frequency, which have a ratio of 2:1, are said to differ by an *octave*.

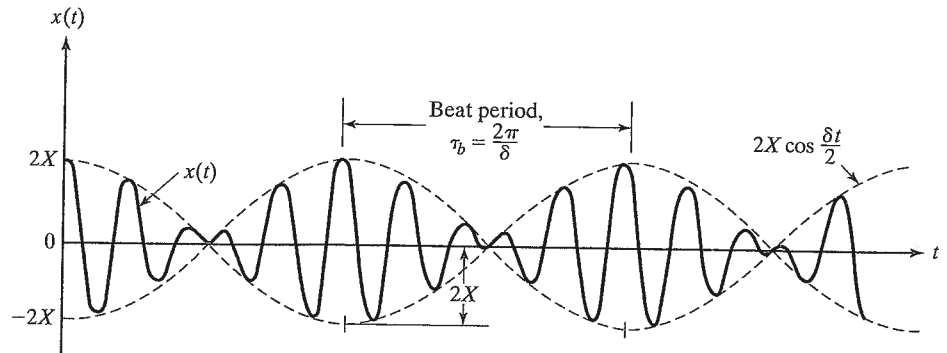


FIGURE 1.45 Phenomenon of beats.

Decibel. The various quantities encountered in the field of vibration and sound (such as displacement, velocity, acceleration, pressure, and power) are often represented using the notation of *decibel*. A decibel (dB) is originally defined as a ratio of electric powers, P/P_0 , as

$$\text{dB} = 10 \log \left(\frac{P}{P_0} \right) \quad (1.68)$$

where P_0 is some reference value of power. Since electric power is proportional to the square of the voltage (X), the decibel can also be expressed as

$$\text{dB} = 10 \log \left(\frac{X}{X_0} \right)^2 = 20 \log \left(\frac{X}{X_0} \right) \quad (1.69)$$

where X_0 is a specified reference voltage. In practice, Eq. (1.69) is also used for expressing the ratios of other quantities such as displacements, velocities, accelerations, and pressures. The reference values of X_0 in Eq. (1.69) are usually taken as $2 \times 10^{-5} \text{ N/m}^2$ for pressure and $1 \mu\text{g} = 9.81 \times 10^{-6} \text{ m/s}^2$ for acceleration.

1.11 Harmonic Analysis⁵

Although harmonic motion is simplest to handle, the motion of many vibratory systems is not harmonic. However, in many cases the vibrations are periodic—for example, the type shown in Fig. 1.46(a). Fortunately, any periodic function of time can be represented by Fourier series as an infinite sum of sine and cosine terms [1.36].

⁵The harmonic analysis forms a basis for Section 4.2.

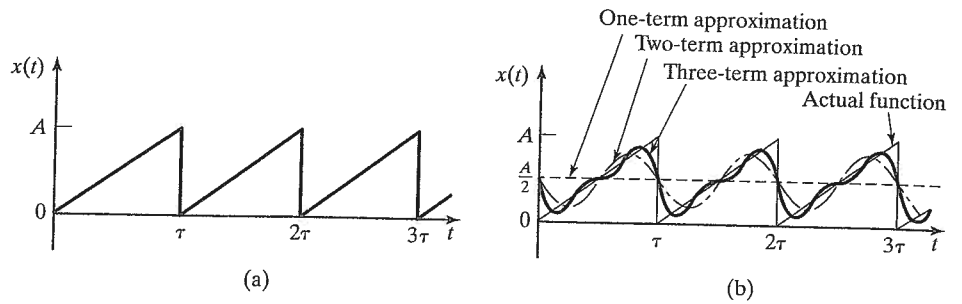


FIGURE 1.46 A periodic function.

1.11.1 Fourier Series Expansion

If $x(t)$ is a periodic function with period τ , its Fourier series representation is given by

$$\begin{aligned} x(t) &= \frac{a_0}{2} + a_1 \cos \omega t + a_2 \cos 2\omega t + \dots \\ &\quad + b_1 \sin \omega t + b_2 \sin 2\omega t + \dots \\ &= \frac{a_0}{2} + \sum_{n=1}^{\infty} (a_n \cos n\omega t + b_n \sin n\omega t) \end{aligned} \quad (1.70)$$

where $\omega = 2\pi/\tau$ is the fundamental frequency and $a_0, a_1, a_2, \dots, b_1, b_2, \dots$ are constant coefficients. To determine the coefficients a_n and b_n , we multiply Eq. (1.70) by $\cos n\omega t$ and $\sin n\omega t$, respectively, and integrate over one period $\tau = 2\pi/\omega$; for example, from 0 to $2\pi/\omega$. Then we notice that all terms except one on the right-hand side of the equation will be zero, and we obtain

$$a_0 = \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) dt = \frac{2}{\tau} \int_0^{\tau} x(t) dt \quad (1.71)$$

$$a_n = \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) \cos n\omega t dt = \frac{2}{\tau} \int_0^{\tau} x(t) \cos n\omega t dt \quad (1.72)$$

$$b_n = \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) \sin n\omega t dt = \frac{2}{\tau} \int_0^{\tau} x(t) \sin n\omega t dt \quad (1.73)$$

The physical interpretation of Eq. (1.70) is that any periodic function can be represented as a sum of harmonic functions. Although the series in Eq. (1.70) is an infinite sum, we can approximate most periodic functions with the help of only a few harmonic functions. For example, the triangular wave of Fig. 1.46(a) can be represented closely by adding only three harmonic functions, as shown in Fig. 1.46(b).

Fourier series can also be represented by the sum of sine terms only or cosine terms only. For example, the series using cosine terms only can be expressed as

$$x(t) = d_0 + d_1 \cos(\omega t - \phi_1) + d_2 \cos(2\omega t - \phi_2) + \dots \quad (1.74)$$

where

$$d_0 = a_0/2. \tag{1.75}$$

$$d_n = (a_n^2 + b_n^2)^{1/2} \tag{1.76}$$

and

$$\phi_n = \tan^{-1}\left(\frac{b_n}{a_n}\right) \tag{1.77}$$

Gibbs Phenomenon. When a periodic function is represented by a Fourier series, an anomalous behavior can be observed. For example, Fig. 1.47 shows a triangular wave and its Fourier series representation using a different number of terms. As the number of terms (n) increases, the approximation can be seen to improve everywhere except in the vicinity of the discontinuity (point P in Fig. 1.47). Here the deviation from the true wave form becomes narrower but not any smaller in amplitude. It has been observed that the error in amplitude remains at approximately 9 percent, even when $k \rightarrow \infty$. This behavior is known as Gibbs phenomenon, after its discoverer.

The Fourier series can also be represented in terms of complex numbers. By noting, from Eqs. (1.41) and (1.42), that

$$e^{i\omega t} = \cos \omega t + i \sin \omega t \tag{1.78}$$

and

$$e^{-i\omega t} = \cos \omega t - i \sin \omega t \tag{1.79}$$

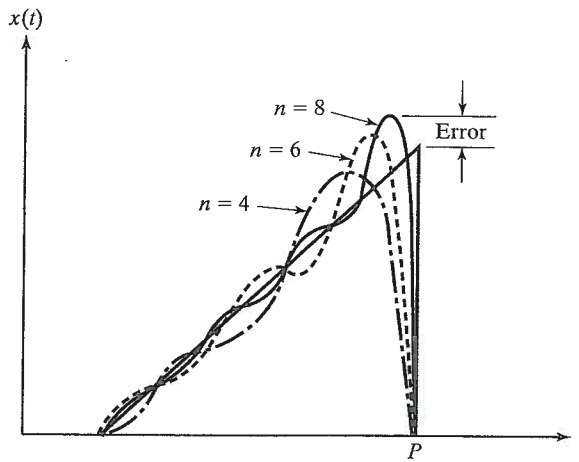


FIGURE 1.47 Gibbs phenomenon.

1.11.2 Complex Fourier Series

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$\cos \omega t$ and $\sin \omega t$ can be expressed as

$$\cos \omega t = \frac{e^{i\omega t} + e^{-i\omega t}}{2} \quad (1.80)$$

and

$$\sin \omega t = \frac{e^{i\omega t} - e^{-i\omega t}}{2i} \quad (1.81)$$

Thus Eq. (1.70) can be written as

$$\begin{aligned} x(t) &= \frac{a_0}{2} + \sum_{n=1}^{\infty} \left\{ a_n \left(\frac{e^{in\omega t} + e^{-in\omega t}}{2} \right) + b_n \left(\frac{e^{in\omega t} - e^{-in\omega t}}{2i} \right) \right\} \\ &= e^{i(0)\omega t} \left(\frac{a_0}{2} - \frac{ib_0}{2} \right) \\ &\quad + \sum_{n=1}^{\infty} \left\{ e^{in\omega t} \left(\frac{a_n}{2} - \frac{ib_n}{2} \right) + e^{-in\omega t} \left(\frac{a_n}{2} + \frac{ib_n}{2} \right) \right\} \end{aligned} \quad (1.82)$$

where $b_0 = 0$. By defining the complex Fourier coefficients c_n and c_{-n} as

$$c_n = \frac{a_n - ib_n}{2} \quad (1.83)$$

and

$$c_{-n} = \frac{a_n + ib_n}{2} \quad (1.84)$$

Eq. (1.82) can be expressed as

$$x(t) = \sum_{n=-\infty}^{\infty} c_n e^{in\omega t} \quad (1.85)$$

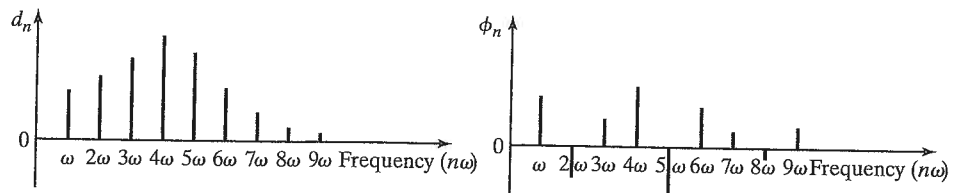
The Fourier coefficients c_n can be determined, using Eqs. (1.71) to (1.73), as

$$\begin{aligned} c_n &= \frac{a_n - ib_n}{2} = \frac{1}{\tau} \int_0^{\tau} x(t) [\cos n\omega t - i \sin n\omega t] dt \\ &= \frac{1}{\tau} \int_0^{\tau} x(t) e^{-in\omega t} dt \end{aligned} \quad (1.86)$$

1.11.3 Frequency Spectrum

The harmonic functions $a_n \cos n\omega t$ or $b_n \sin n\omega t$ in Eq. (1.70) are called the *harmonics* of order n of the periodic function $x(t)$. The harmonic of order n has a period τ/n . These harmonics can be plotted as vertical lines on a diagram of amplitude (a_n and b_n or d_n and ϕ_n) versus frequency ($n\omega$), called the *frequency spectrum* or *spectral diagram*. Figure 1.48 shows a typical frequency spectrum.

(1.80)



(1.81)

FIGURE 1.48 Frequency spectrum of a typical periodic function of time.

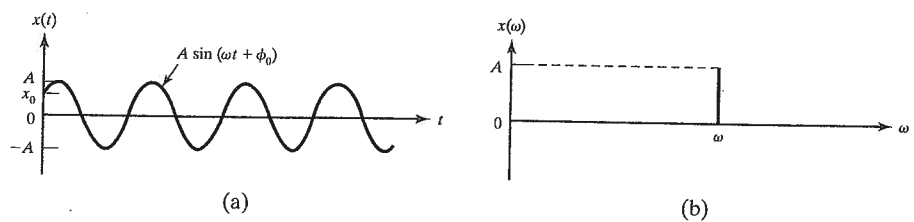
1.11.4 Time and Frequency Domain Representations

The Fourier series expansion permits the description of any periodic function using either a time domain or a frequency domain representation. For example, a harmonic function given by $x(t) = A \sin \omega t$ in time domain (see Fig. 1.49a) can be represented by the amplitude and the frequency ω in the frequency domain (see Fig. 1.49b). Similarly, a periodic function, such as a triangular wave, can be represented in time domain, as shown in Fig. 1.49(c), or in frequency domain, as indicated in Fig. 1.49(d). Note that the amplitudes d_n and the phase angles ϕ_n corresponding to the frequencies ω_n can be used in place of the amplitudes a_n and b_n for representation in the frequency domain. Using a Fourier integral (considered in Section 14.9) permits the representation of even nonperiodic functions in either a time domain or a frequency domain. Figure 1.49 shows that the frequency domain representation does not provide the initial conditions. However, the initial conditions are often considered unnecessary in many practical applications and only the steady state conditions are of main interest.

(1.82)

(1.83)

(1.84)



(1.85)

(1.86)

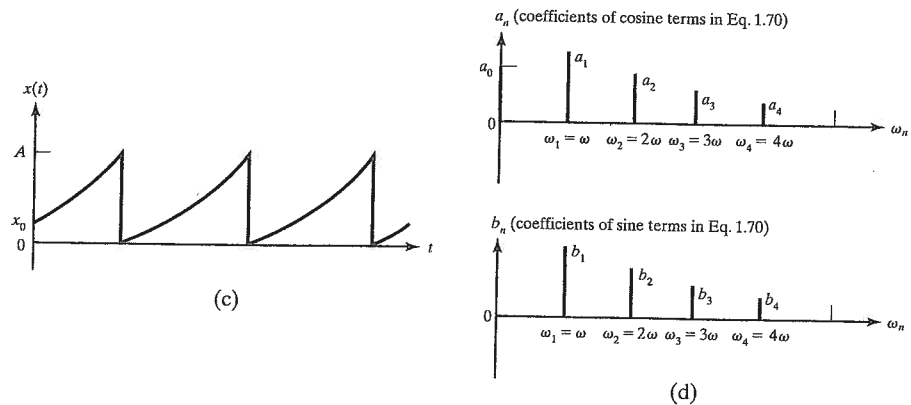


FIGURE 1.49 Representation of a function in time and frequency domains.

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1.11.5
Even and Odd
Functions

An even function satisfies the relation

$$x(-t) = x(t) \tag{1.87}$$

In this case, the Fourier series expansion of $x(t)$ contains only cosine terms:

$$x(t) = \frac{a_0}{2} + \sum_{n=1}^{\infty} a_n \cos n\omega t \tag{1.88}$$

where a_0 and a_n are given by Eqs. (1.71) and (1.72), respectively. An odd function satisfies the relation

$$x(-t) = -x(t) \tag{1.89}$$

In this case, the Fourier series expansion of $x(t)$ contains only sine terms:

$$x(t) = \sum_{n=1}^{\infty} b_n \sin n\omega t \tag{1.90}$$

where b_n is given by Eq. (1.73). In some cases, a given function may be considered as even or odd depending on the location of the coordinate axes. For example, the shifting of the vertical axis from (a) to (b) or (c) in Fig. 1.50(i) will make it an odd or even function. This

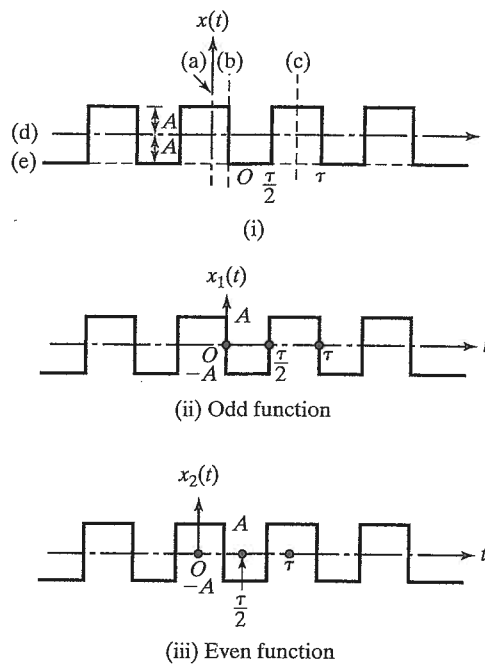


FIGURE 1.50 Even and odd functions.

(1.87) means that we need to compute only the coefficients b_n or a_n . Similarly, a shift in the time axis from (d) to (e) amounts to adding a constant equal to the amount of shift. In the case of Fig. 1.50(ii), when the function is considered as an odd function, the Fourier series expansion becomes (see Problem 1.65):

(1.88)
$$x_1(t) = \frac{4A}{\pi} \sum_{n=1}^{\infty} \frac{1}{(2n-1)} \sin \frac{2\pi(2n-1)t}{\tau} \tag{1.91}$$

On the other hand, if the function is considered an even function, as shown in Fig. 1.50(iii), its Fourier series expansion becomes (see Problem 1.65):

(1.89)
$$x_2(t) = \frac{4A}{\pi} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{(2n-1)} \cos \frac{2\pi(2n-1)t}{\tau} \tag{1.92}$$

Since the functions $x_1(t)$ and $x_2(t)$ represent the same wave, except for the location of the origin, there exists a relationship between their Fourier series expansions also. Noting that

(1.90)
$$x_1\left(t + \frac{\tau}{4}\right) = x_2(t) \tag{1.93}$$

we find from Eq. (1.91),

$$\begin{aligned} x_1\left(t + \frac{\tau}{4}\right) &= \frac{4A}{\pi} \sum_{n=1}^{\infty} \frac{1}{(2n-1)} \sin \frac{2\pi(2n-1)}{\tau} \left(t + \frac{\tau}{4}\right) \\ &= \frac{4A}{\pi} \sum_{n=1}^{\infty} \frac{1}{(2n-1)} \sin \left\{ \frac{2\pi(2n-1)t}{\tau} + \frac{2\pi(2n-1)}{4} \right\} \end{aligned} \tag{1.94}$$

Using the relation $\sin(A+B) = \sin A \cos B + \cos A \sin B$, Eq. (1.94) can be expressed as

$$\begin{aligned} x_1\left(t + \frac{\tau}{4}\right) &= \frac{4A}{\pi} \sum_{n=1}^{\infty} \left\{ \frac{1}{(2n-1)} \sin \frac{2\pi(2n-1)t}{\tau} \cos \frac{2\pi(2n-1)}{4} \right. \\ &\quad \left. + \cos \frac{2\pi(2n-1)t}{\tau} \sin \frac{2\pi(2n-1)}{4} \right\} \end{aligned} \tag{1.95}$$

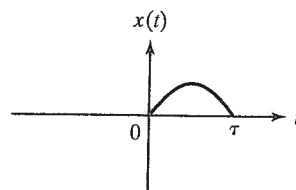
Since $\cos [2\pi(2n - 1)/4] = 0$ for $n = 1, 2, 3, \dots$, and $\sin [2\pi(2n - 1)/4] = (-1)^{n+1}$ for $n = 1, 2, 3, \dots$, Eq. (1.95) reduces to

$$x_1\left(t + \frac{\tau}{4}\right) = \frac{4A}{\pi} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{(2n - 1)} \cos \frac{2\pi(2n - 1)t}{\tau} \quad (1.96)$$

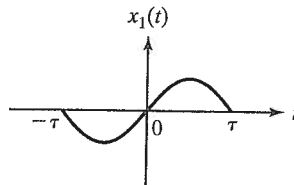
which can be identified to be the same as Eq. (1.92).

1.11.6 Half-Range Expansions

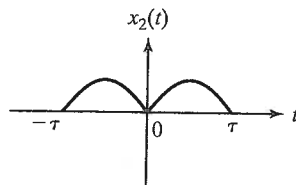
In some practical applications, the function $x(t)$ is defined only in the interval 0 to τ as shown in Fig. 1.51(a). In such a case, there is no condition of periodicity of the function since the function itself is not defined outside the interval 0 to τ . However, we can extend the function arbitrarily to include the interval $-\tau$ to 0 as shown in either Fig. 1.51(b) or Fig. 1.51(c). The extension of the function indicated in Fig. 1.51(b) results in an odd function, $x_1(t)$, while the extension of the function shown in Fig. 1.51(c) results in an even



(a) Original function



(b) Extension as an odd function



(c) Extension as an even function

FIGURE 1.51 Extension of a function for half-range expansions.

**1.11.7
Numerical
Computation of
Coefficients**

function, $x_2(t)$. Thus the Fourier series expansion of $x_1(t)$ yields only sine terms and that of $x_2(t)$ involves only cosine terms. These Fourier series expansions of $x_1(t)$ and $x_2(t)$ are known as half-range expansions [1.37]. Any of these half-range expansions can be used to find $x(t)$ in the interval 0 to τ .

For very simple forms of the function $x(t)$, the integrals of Eqs. (1.71) to (1.73) can be evaluated easily. However, the integration becomes involved if $x(t)$ does not have a simple form. In some practical applications, as in the case of experimental determination of the amplitude of vibration using a vibration transducer, the function $x(t)$ is not available in the form of a mathematical expression; only the values of $x(t)$ at a number of points t_1, t_2, \dots, t_N are available, as shown in Fig. 1.52. In these cases, the coefficients a_n and b_n of Eqs. (1.71) to (1.73) can be evaluated by using a numerical integration procedure like the trapezoidal or Simpson's rule [1.38].

Let's assume that t_1, t_2, \dots, t_N are an even number of equidistant points over the period τ ($N = \text{even}$) with the corresponding values of $x(t)$ given by $x_1 = x(t_1), x_2 = x(t_2), \dots, x_N = x(t_N)$, respectively, the application of the trapezoidal rule gives the coefficients a_n and b_n (by setting $\tau = N\Delta t$) as⁶:

$$a_0 = \frac{2}{N} \sum_{i=1}^N x_i \tag{1.97}$$

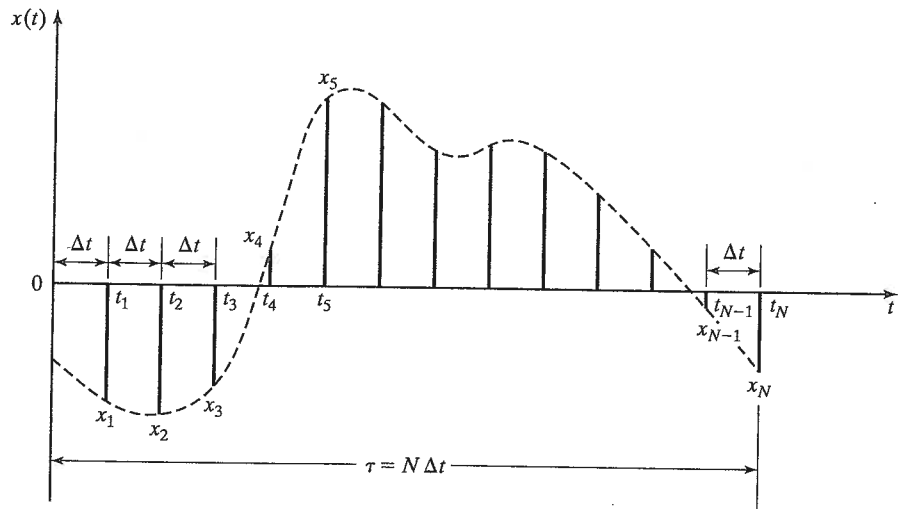


FIGURE 1.52 Values of the periodic function $x(t)$ at discrete points t_1, t_2, \dots, t_N .

⁶ N needs to be an even number for Simpson's rule but not for the trapezoidal rule. Equations (1.97) to (1.99) assume that the periodicity condition, $x_0 = x_N$, holds true.

$(-1)^{n+1}$

(1.96)

0 to τ as
function
in extend
.51(b) or
odd func-
an even

$$a_n = \frac{2}{N} \sum_{i=1}^N x_i \cos \frac{2n\pi t_i}{\tau} \tag{1.98}$$

$$b_n = \frac{2}{N} \sum_{i=1}^N x_i \sin \frac{2n\pi t_i}{\tau} \tag{1.99}$$

Fourier Series Expansion

EXAMPLE 1.12

Determine the Fourier series expansion of the motion of the valve in the cam-follower system shown in Fig. 1.53.

Solution: If $y(t)$ denotes the vertical motion of the pushrod, the motion of the valve, $x(t)$, can be determined from the relation:

$$\tan \theta = \frac{y(t)}{l_1} = \frac{x(t)}{l_2}$$

or

$$x(t) = \frac{l_2}{l_1} y(t) \tag{E.1}$$

where

$$y(t) = Y \frac{t}{\tau}; 0 \leq t \leq \tau \tag{E.2}$$

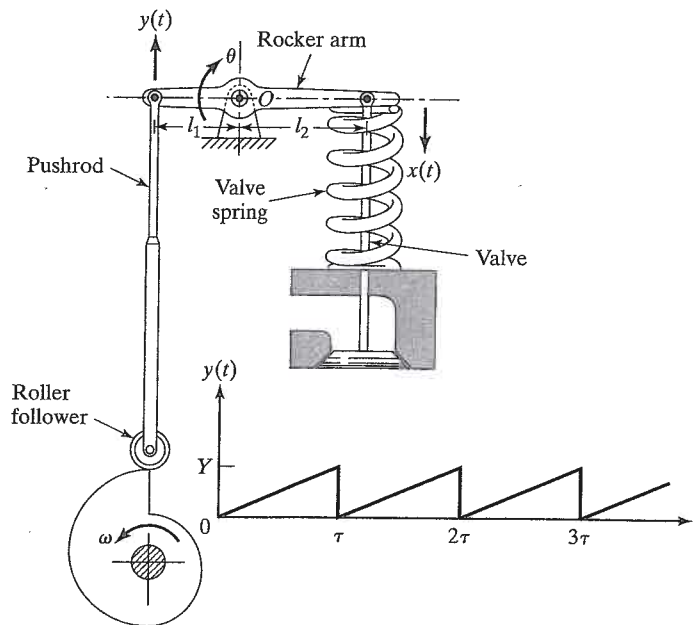


FIGURE 1.53 Cam-follower system.

and the period is given by $\tau = \frac{2\pi}{\omega}$. By defining

(1.98)

(1.99)

$$A = \frac{Yl_2}{l_1}$$

$x(t)$ can be expressed as

$$x(t) = A \frac{t}{\tau}; 0 \leq t \leq \tau \quad (\text{E.3})$$

Equation (E.3) is shown in Fig. 1.46(a). To compute the Fourier coefficients a_n and b_n , we use Eqs. (1.71) to (1.73):

$$a_0 = \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) dt = \frac{\omega}{\pi} \int_0^{2\pi/\omega} A \frac{t}{\tau} dt = \frac{\omega}{\pi} \frac{A}{\tau} \left(\frac{t^2}{2} \right)_0^{2\pi/\omega} = A \quad (\text{E.4})$$

$$\begin{aligned} a_n &= \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) \cos n\omega t \cdot dt = \frac{\omega}{\pi} \int_0^{2\pi/\omega} A \frac{t}{\tau} \cos n\omega t \cdot dt \\ &= \frac{A\omega}{\pi\tau} \int_0^{2\pi/\omega} t \cos n\omega t \cdot dt = \frac{A}{2\pi^2} \left[\frac{\cos n\omega t}{n^2} + \frac{\omega t \sin n\omega t}{n} \right]_0^{2\pi/\omega} \\ &= 0, n = 1, 2, \dots \end{aligned} \quad (\text{E.5})$$

$$\begin{aligned} b_n &= \frac{\omega}{\pi} \int_0^{2\pi/\omega} x(t) \sin n\omega t \cdot dt = \frac{\omega}{\pi} \int_0^{2\pi/\omega} A \frac{t}{\tau} \sin n\omega t \cdot dt \\ &= \frac{A\omega}{\pi\tau} \int_0^{2\pi/\omega} t \sin n\omega t \cdot dt = \frac{A}{2\pi^2} \left[\frac{\sin n\omega t}{n^2} - \frac{\omega t \cos n\omega t}{n} \right]_0^{2\pi/\omega} \\ &= -\frac{A}{n\pi}, n = 1, 2, \dots \end{aligned} \quad (\text{E.6})$$

Therefore the Fourier series expansion of $x(t)$ is

$$\begin{aligned} x(t) &= \frac{A}{2} - \frac{A}{\pi} \sin \omega t - \frac{A}{2\pi} \sin 2\omega t - \dots \\ &= \frac{A}{\pi} \left[\frac{\pi}{2} - \left\{ \sin \omega t + \frac{1}{2} \sin 2\omega t + \frac{1}{3} \sin 3\omega t + \dots \right\} \right] \end{aligned} \quad (\text{E.7})$$

The first three terms of the series are shown plotted in Fig. 1.46(b). It can be seen that the approximation reaches the sawtooth shape even with a small number of terms.

■

EXAMPLE 1.13 Numerical Fourier Analysis

The pressure fluctuations of water in a pipe, measured at 0.01 second intervals, are given in Table 1.1. These fluctuations are repetitive in nature. Make a harmonic analysis of the pressure fluctuations and determine the first three harmonics of the Fourier series expansion.

Solution: Since the given pressure fluctuations repeat every 0.12 sec, the period is $\tau = 0.12$ sec and the circular frequency of the first harmonic is 2π radians per 0.12 sec or $\omega = 2\pi/0.12 = 52.36$ rad/sec. As the number of observed values in each wave (N) is 12, we obtain from Eq. (1.97)

$$a_0 = \frac{2}{N} \sum_{i=1}^N p_i = \frac{1}{6} \sum_{i=1}^{12} p_i = 68166.7 \quad (\text{E.1})$$

The coefficients a_n and b_n can be determined from Eqs. (1.98) and (1.99):

$$a_n = \frac{2}{N} \sum_{i=1}^N p_i \cos \frac{2n\pi t_i}{\tau} = \frac{1}{6} \sum_{i=1}^{12} p_i \cos \frac{2n\pi t_i}{0.12} \quad (\text{E.2})$$

$$b_n = \frac{2}{N} \sum_{i=1}^N p_i \sin \frac{2n\pi t_i}{\tau} = \frac{1}{6} \sum_{i=1}^{12} p_i \sin \frac{2n\pi t_i}{0.12} \quad (\text{E.3})$$

The computations involved in Eqs. (E.2) and (E.3) are shown in Table 1.2. From these calculations, the Fourier series expansion of the pressure fluctuations $p(t)$ can be obtained (see Eq. 1.70):

$$\begin{aligned} p(t) = & 34083.3 - 26996.0 \cos 52.36t + 8307.7 \sin 52.36t \\ & + 1416.7 \cos 104.72t + 3608.3 \sin 104.72t - 5833.3 \cos 157.08t \\ & - 2333.3 \sin 157.08t + \dots \text{N/m}^2 \end{aligned} \quad (\text{E.4})$$

TABLE 1.1

| Time Station, i | Time (sec), t_i | Pressure (kN/m^2), p_i |
|-------------------|-------------------|-------------------------------------|
| 0 | 0 | 0 |
| 1 | 0.01 | 20 |
| 2 | 0.02 | 34 |
| 3 | 0.03 | 42 |
| 4 | 0.04 | 49 |
| 5 | 0.05 | 53 |
| 6 | 0.06 | 70 |
| 7 | 0.07 | 60 |
| 8 | 0.08 | 36 |
| 9 | 0.09 | 22 |
| 10 | 0.10 | 16 |
| 11 | 0.11 | 7 |
| 12 | 0.12 | 0 |

TABLE 1.2

| <i>i</i> | <i>t_i</i> | <i>p_i</i> | <i>n</i> = 1 | | <i>n</i> = 2 | | <i>n</i> = 3 | |
|----------|---------------------------------|----------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| | | | $p_i \cos \frac{2\pi t_i}{0.12}$ | $p_i \sin \frac{2\pi t_i}{0.12}$ | $p_i \cos \frac{4\pi t_i}{0.12}$ | $p_i \sin \frac{4\pi t_i}{0.12}$ | $p_i \cos \frac{6\pi t_i}{0.12}$ | $p_i \sin \frac{6\pi t_i}{0.12}$ |
| 1 | 0.01 | 20000 | 17320 | 10000 | 10000 | 17320 | 0 | 20000 |
| 2 | 0.02 | 34000 | 17000 | 29444 | -17000 | 29444 | -34000 | 0 |
| 3 | 0.03 | 42000 | 0 | 42000 | -42000 | 0 | 0 | -42000 |
| 4 | 0.04 | 49000 | -24500 | 42434 | -24500 | -42434 | 49000 | 0 |
| 5 | 0.05 | 53000 | -45898 | 26500 | 26500 | -45898 | 0 | 53000 |
| 6 | 0.06 | 70000 | -70000 | 0 | 70000 | 0 | -70000 | 0 |
| 7 | 0.07 | 60000 | -51960 | -30000 | 30000 | 51960 | 0 | -60000 |
| 8 | 0.08 | 36000 | -18000 | -31176 | -18000 | 31176 | 36000 | 0 |
| 9 | 0.09 | 22000 | 0 | -22000 | -22000 | 0 | 0 | 22000 |
| 10 | 0.10 | 16000 | 8000 | -13856 | -8000 | -13856 | -16000 | 0 |
| 11 | 0.11 | 7000 | 6062 | -3500 | 3500 | -6062 | 0 | -7000 |
| 12 | 0.12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | $\sum_{i=1}^{12}()$ | 409000 | -161976 | 49846 | 8500 | 21650 | -35000 | -14000 |
| | $\frac{1}{6} \sum_{i=1}^{12}()$ | 68166.7 | -26996.0 | 8307.7 | 1416.7 | 3608.3 | -5833.3 | -2333.3 |

1.12 Examples Using MATLAB⁷

EXAMPLE 1.14 Graphical Representation of Fourier Series Using MATLAB

Plot the function

$$x(t) = A \frac{t}{\tau}, 0 \leq t \leq \tau \quad (\text{E.1})$$

and its Fourier series representation with four terms

$$\bar{x}(t) = \frac{A}{\pi} \left\{ \frac{\pi}{2} - \left(\sin \omega t + \frac{1}{2} \sin 2\omega t + \frac{1}{3} \sin 3\omega t \right) \right\} \quad (\text{E.2})$$

for $0 \leq t \leq \tau$ with $A = 1$, $\omega = \pi$, and $\tau = \frac{2\pi}{\omega} = 2$.

⁷The source codes of all MATLAB programs are given at the web site of the book.